

## 1.1 Auction Schedule

Table 1 presents the current schedule for all events associated with Auction 38 (“Auction Schedule”). Please note that times and dates in the schedule are subject to change. Any change to the Auction Schedule will be reflected on the Auction Website and emailed to the Authorized Auction Representative(s).

Event	Date	Time
Release auction documents <ul style="list-style-type: none"> <li>• <i>Auction Notice</i></li> <li>• <i>Qualification Application</i></li> <li>• <i>Intent to Bid</i></li> </ul>	Tuesday, October 10, 2017	No later than 10:00 AM ET
Open online question window	Tuesday, October 10, 2017	10:00 AM ET
Hold webinar	Thursday, October 12, 2017	2:00 to 3:00 PM ET
Close online question window	Friday, October 13, 2017	5:00 PM ET
Update FAQs, as needed	Wednesday, October 18, 2017	No later than 12:00 PM ET
<b>Auction documents due<sup>1</sup></b> <ul style="list-style-type: none"> <li>• <b><i>Qualification Application</i></b></li> <li>• <b><i>Intent to Bid</i></b></li> </ul>	<b>Wednesday, October 25, 2017</b>	<b>No later than 5:00 PM ET</b>
Auction Manager sends remediation notification and instructions to each Applicant <ul style="list-style-type: none"> <li>• <i>Qualification Application</i></li> <li>• <i>Intent to Bid</i></li> </ul>	Wednesday, November 01, 2017	No later than 3:00 PM ET
<b>Remediated auction documents due, where applicable</b>	<b>Thursday, November 16, 2017</b>	<b>No later than 5:00 PM ET</b>
Auction Manager sends qualification status to each Applicant	Tuesday, November 21, 2017	No later than 5:00 PM ET
Auction Manager distributes usernames & passwords	Tuesday, November 21, 2017	No later than 5:00 PM ET
Hold Auction Platform training sessions	Monday, November 27, 2017	1:00 to 4:00 PM ET
Hold Auction Platform training sessions	Tuesday, November 28, 2017	1:00 to 4:00 PM ET
<b>Financial security due</b>	<b>Wednesday, November 29, 2017</b>	<b>No later than 5:00 PM ET</b>
Auction Manager sends notification of participation status	Friday, December 01, 2017	No later than 5:00 PM ET
<b>Hold Auction 38</b>	<b>Wednesday, December 06, 2017</b>	<b>9:00 AM to 12:00 PM ET</b>
Auction 38 final clearing price posted at <a href="http://www.rggi.org">http://www.rggi.org</a> . Immediately following posting of final clearing price, bidders can log on to Auction Platform to view Auction 38 awards.	Friday, December 08, 2017	10:00 AM ET
Optional cash settlement due	Wednesday, December 13, 2017	No later than 5:00 PM ET
Unused cash financial security returned	Wednesday, December 20, 2017	No later than 5:00 PM ET
Allowance transfer and confirmation	Thursday, December 21, 2017	No later than 5:00 PM ET

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<sup>1</sup> All due dates specified in the *Auction Notice* refer to the date and time on which the item(s) must be received by the Auction Manager.