

The Regional Greenhouse Gas Initiative

an initiative of the New England and Mid-Atlantic States of the U.S.

Distribution of 2009 CO₂ Allowances

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2009 CO ₂ Allowances By State											
State	CO ₂ Allowance Budget	Offered at Auction	Sold at Auction	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Early Reduction Allowances (ERAs) ⁶	Offered but Unsold at Auction	Remaining Set-Aside Allowances ⁷	Set-Aside Allowances Retired ^{4,5}	Unsold Allowances Retired ¹	Sold Allowances Retired ²
Connecticut ^{1,2}	10,695,036	10,213,334	9,914,490	172,543	212,315	198,231	0	0	96,844	298,844	1,008
Delaware ³	7,559,787	3,811,346	3,811,346	N/A	2,540,897	3,128	0	0	1,207,544	0	0
Maine	5,948,902	5,037,546	5,037,546	N/A	792,367	0	0	117,993	996	0	0
Maryland ⁴	37,503,983	32,348,928	32,345,921	0	0	217,703	0	0	5,155,055	3,007	0
Massachusetts	26,660,204	26,285,204	26,285,204	N/A	24,201	18,276	0	350,799	0	0	0
New Hampshire	8,620,460	6,120,460	6,120,460	N/A	2,500,000	1,064,718	0	0	0	0	0
New Jersey	22,892,730	17,049,841	17,010,001	5,655,178	180,471	113,469	0	0	7,240	39,840	0
New York ⁵	64,310,805	61,055,534	61,055,534	N/A	1,319,189	806,883	0	0	1,936,082	0	0
Rhode Island	2,659,239	2,657,952	2,648,715	N/A	0	0	0	0	1,287	9,237	0
Vermont	1,225,830	1,213,572	1,213,572	N/A	0	0	0	0	12,258	0	0
Total	188,076,976	165,793,717	165,442,789	5,827,721	7,569,440	2,422,408	0	468,792	8,417,306	350,928	1,008

¹ For Connecticut, the Unsold Allowances Retired column also includes CO₂ allowances that are intended to be retired.

² For Connecticut, of the 1,008 CO₂ allowances in the Sold Allowances Retired column, 215 were Connecticut-issued CO₂ allowances, 265 were Massachusetts-issued CO₂ allowances, 153 were New York-issued allowances, and 375 were Vermont-issued CO₂ allowances. These CO₂ allowances have not been excluded in the "Sold At Auction" total in the above.

³ In Delaware, the percentage of CO₂ allowances allocated to auction shall increase by 8 percent per year from 2009-2014, such that 100 percent of CO₂ allowances shall be auctioned in 2014.

⁴ For Maryland, the Set-Aside Allowances Retired column also includes CO₂ allowances that will be retired in accordance with deadlines in Maryland regulations.

⁵ For New York, the Set-Aside Allowances Retired column also includes New York's Behind-the-Meter Adjustments for 2009.

⁶ 2,422,408 Early Reduction Allowances (ERAs) were awarded for the first control period. For more information, see https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/2009_12_16_ERA_Awards.pdf.

⁷ States do not intend to distribute CO₂ allowances in the Remaining Set-Aside Allowances column.

Legend Key

CO₂ Allowance Budget: Total number of CO₂ allowances issued by each participating state for 2009 as specified by each participating state's CO₂ Budget Trading Program.

Offered at Auction: Total number of CO₂ allowances offered at auction (includes Sold at Auction, Offered but Unsold at Auction, and Unsold Allowances Retired).

Sold at Auction: Total number of CO₂ allowances sold at auction.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Sold Allowances Retired: Total number of CO₂ allowances that were offered and sold at an auction and have been retired.

Unsold Allowances Retired: Total number of CO₂ allowances that were offered at an auction but were not sold and have been retired.

Offered but Unsold at Auction: Total number of CO₂ allowances that were offered at an auction but were not sold.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts.

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Distribution of 2009 CO2 Allowances

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Distribution of 2009 CO2 Allowances By State

State	CO ₂ Allowance Budget	Offered at Auction	Sold at Auction	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Offered but Unsold at Auction	Remaining Set-Aside Allowances ⁶	Set-Aside Allowances Retired ^{4,5}	Unsold Allowances Retired ¹	Sold Allowances Retired ²
Connecticut ^{1,2}	10,695,036	95.50%	92.70%	1.61%	1.99%	0.00%	0.00%	0.91%	2.79%	0.01%
Delaware ³	7,559,787	50.42%	50.42%	N/A	33.61%	0.00%	0.00%	15.97%	0.00%	0.00%
Maine	5,948,902	84.68%	84.68%	N/A	13.32%	0.00%	1.98%	0.02%	0.00%	0.00%
Maryland ⁴	37,503,983	86.25%	86.25%	0.00%	0.00%	0.00%	0.00%	13.75%	0.01%	0.00%
Massachusetts	26,660,204	98.59%	98.59%	N/A	0.09%	0.00%	1.32%	0.00%	0.00%	0.00%
New Hampshire	8,620,460	71.00%	71.00%	N/A	29.00%	0.00%	0.00%	0.00%	0.00%	0.00%
New Jersey	22,892,730	74.48%	74.30%	24.70%	0.79%	0.00%	0.00%	0.03%	0.17%	0.00%
New York ⁵	64,310,805	94.94%	94.94%	N/A	2.05%	0.00%	0.00%	3.01%	0.00%	0.00%
Rhode Island	2,659,239	99.95%	99.60%	N/A	0.00%	0.00%	0.00%	0.05%	0.35%	0.00%
Vermont	1,225,830	99.00%	99.00%	N/A	0.00%	0.00%	0.00%	1.00%	0.00%	0.00%
Total	188,076,976	88.15%	87.97%	3.10%	4.02%	0.00%	0.25%	4.48%	0.19%	0.00%

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⁶ States do not intend to distribute CO₂ allowances in the Remaining Set-Aside Allowances column.

Note: In addition to the above, 2,422,408 Early Reduction Allowances (ERAs) were awarded for the first control period. For more information, see https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/2009_12_16_ERA_Awards.pdf.

Legend Key

CO₂ Allowance Budget: Total number of CO₂ allowances issued by each participating state for 2009 as specified by each participating state's CO₂ Budget Trading Program.

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Sold Allowances Retired: Portion of CO₂ allowances that were offered and sold at an auction and have been retired.

Unsold Allowances Retired: Portion of CO₂ allowances that were offered at an auction but were not sold and have been retired.

Offered but Unsold at Auction: Portion of CO₂ allowances that were offered at an auction but were not sold.

Transferred from State Set-Aside Accounts: Portion of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Portion of CO₂ allowances delegated as state set-aside allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Portion of CO₂ allowances that have been retired from state set-aside accounts.