

# The Regional Greenhouse Gas Initiative

## an initiative of the New England and Mid-Atlantic States of the U.S.

### Distribution of 2011 CO<sub>2</sub> Allowances

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

#### Distribution of 2011 CO<sub>2</sub> Allowances By State

State	CO <sub>2</sub> Allowance Budget	Offered at Auction	Sold at Auction	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Offered but Unsold at Auction <sup>6</sup>	Remaining Set-Aside Allowances <sup>7</sup>	Set-Aside Allowances Retired <sup>4,5</sup>	Unsold Allowances Retired <sup>1</sup>	Sold Allowances Retired <sup>2</sup>
Connecticut <sup>1,2</sup>	10,695,036	10,395,335	6,063,052	0	172,137	0	0	127,564	4,332,283	737
Delaware <sup>3</sup>	7,559,787	4,827,705	2,547,440	N/A	1,524,538	0	0	1,207,544	2,280,265	0
Maine	5,948,902	5,028,776	2,653,537	N/A	801,148	2,375,239	117,589	1,389	0	0
Maryland <sup>4</sup>	37,503,983	30,115,492	15,891,060	1,600,000		0	0	5,788,491	14,224,432	0
Massachusetts	26,660,204	26,285,204	13,869,929	N/A		0	375,000	0	12,415,275	0
New Hampshire	8,620,460	6,120,008	3,237,469	N/A	2,500,000	2,882,539	0	452	0	0
New Jersey	22,892,730	21,944,559	11,588,642	0	586,431	0	0	361,740	10,355,917	0
New York <sup>5</sup>	64,310,805	61,017,354	32,387,536	N/A	1,680,810	0	0	1,612,641	28,629,818	0
Rhode Island	2,659,239	2,658,293	1,414,817	N/A	0	0	0	946	1,243,476	0
Vermont	1,225,830	1,225,830	646,834	N/A	0	0	0	0	578,996	0
<b>Total</b>	<b>188,076,976</b>	<b>169,618,556</b>	<b>90,300,316</b>	<b>1,600,000</b>	<b>7,265,064</b>	<b>5,257,778</b>	<b>492,589</b>	<b>9,100,767</b>	<b>74,060,462</b>	<b>737</b>

<sup>1</sup> For Connecticut, the Unsold Allowances Retired column also includes CO<sub>2</sub> allowances that are intended to be retired.

<sup>2</sup> For Connecticut, of the 737 CO<sub>2</sub> allowances in the Sold Allowances Retired column, 586 were Connecticut-issued CO<sub>2</sub> allowances and 151 were Maryland-issued CO<sub>2</sub> allowances. These CO<sub>2</sub> allowances have not been excluded in the "Sold At Auction" total in the above.

<sup>3</sup> In Delaware, the percentage of CO<sub>2</sub> allowances allocated to auction shall increase by 8 percent per year from 2009-2014, such that 100 percent of CO<sub>2</sub> allowances shall be auctioned in 2014.

<sup>4</sup> For Maryland, the Set-Aside Allowances Retired column also includes CO<sub>2</sub> allowances that will be retired in accordance with deadlines in Maryland regulations.

<sup>5</sup> For New York, the Set-Aside Allowances Retired column also includes New York's Behind-the-Meter Adjustments for 2011.

<sup>6</sup> States do not intend to re-offer CO<sub>2</sub> allowances in the Offered but Unsold at Auction column. New Hampshire may convert some of these CO<sub>2</sub> allowances to Cost Containment Reserve allowances.

<sup>7</sup> States do not intend to distribute CO<sub>2</sub> allowances in the Remaining Set-Aside Allowances column.

#### Legend Key

**CO<sub>2</sub> Allowance Budget:** Total number of CO<sub>2</sub> allowances issued by each participating state for 2011 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**Offered at Auction:** Total number of CO<sub>2</sub> allowances offered at auction (includes Sold at Auction, Offered but Unsold at Auction, and Unsold Allowances Retired).

**Sold at Auction:** Total number of CO<sub>2</sub> allowances sold at auction.

**Sold at Fixed Price:** Total number of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Sold Allowances Retired:** Total number of CO<sub>2</sub> allowances that were offered and sold at an auction and have been retired.

**Unsold Allowances Retired:** Total number of CO<sub>2</sub> allowances that were offered at an auction but were not sold and have been retired.

**Offered but Unsold at Auction:** Total number of CO<sub>2</sub> allowances that were offered at an auction but were not sold.

**Transferred from State Set-Aside Accounts:** Total number of CO<sub>2</sub> allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: [https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States\\_Set-Aside\\_Accounts.pdf](https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf).

**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts.

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Connecticut <sup>1,2</sup>	10,695,036	97.20%	56.69%	0.00%	1.61%	0.00%	0.00%	1.19%	40.51%	0.01%
Delaware <sup>3</sup>	7,559,787	63.86%	33.70%	N/A	20.17%	0.00%	0.00%	15.97%	30.16%	0.00%
Maine	5,948,902	84.53%	44.61%	N/A	13.47%	39.93%	1.98%	0.02%	0.00%	0.00%
Maryland <sup>4</sup>	37,503,983	80.30%	42.37%	4.27%	0.00%	0.00%	0.00%	15.43%	37.93%	0.00%
Massachusetts	26,660,204	98.59%	52.02%	N/A	0.00%	0.00%	1.41%	0.00%	46.57%	0.00%
New Hampshire	8,620,460	70.99%	37.56%	N/A	29.00%	33.44%	0.00%	0.01%	0.00%	0.00%
New Jersey	22,892,730	95.86%	50.62%	0.00%	2.56%	0.00%	0.00%	1.58%	45.24%	0.00%
New York <sup>5</sup>	64,310,805	94.88%	50.36%	N/A	2.61%	0.00%	0.00%	2.51%	44.52%	0.00%
Rhode Island	2,659,239	99.96%	53.20%	N/A	0.00%	0.00%	0.00%	0.04%	46.76%	0.00%
Vermont	1,225,830	100.00%	52.77%	N/A	0.00%	0.00%	0.00%	0.00%	47.23%	0.00%
<b>Total</b>	<b>188,076,976</b>	<b>90.19%</b>	<b>48.01%</b>	<b>0.85%</b>	<b>3.86%</b>	<b>2.80%</b>	<b>0.26%</b>	<b>4.84%</b>	<b>39.38%</b>	<b>0.00%</b>

<sup>1</sup> For Connecticut, the Unsold Allowances Retired column also includes CO<sub>2</sub> allowances that are intended to be retired.

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