

# The Regional Greenhouse Gas Initiative

## an initiative of the New England and Mid-Atlantic States of the U.S.

### 2013 CO<sub>2</sub> Allowance Distribution

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

#### 2013 CO<sub>2</sub> Allowance Distribution By State

State	CO <sub>2</sub> Allowance Budget	Offered at Auction	Sold at Auction <sup>4</sup>	Sold at Fixed Price	Offered but Unsold at Auction <sup>5</sup>	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances <sup>6</sup>	Set-Aside Allowances Retired <sup>2,3</sup>	Unsold Allowances Retired
Connecticut	10,695,036	10,449,237	10,345,745	0	103,492	104,623	0	141,176	0
Delaware <sup>1</sup>	7,559,787	5,844,063	5,781,228	N/A	0	508,180	0	1,207,544	62,835
Maine	5,948,902	5,069,288	5,014,922	N/A	54,366	760,636	118,978	0	0
Maryland <sup>2</sup>	37,503,983	36,984,718	36,660,924	154,302	323,794	0	0	364,963	0
Massachusetts	26,660,204	26,660,204	26,373,560	N/A	0	0	0	0	286,644
New Hampshire	8,620,460	7,119,780	7,045,076	N/A	74,704	1,500,000	0	680	0
New York <sup>3</sup>	64,310,805	60,689,431	60,038,476	N/A	0	1,500,000	0	2,121,374	650,955
Rhode Island <sup>6</sup>	2,659,239	2,632,647	2,604,341	N/A	0	0	0	26,592	28,306
Vermont	1,225,830	1,213,572	1,200,525	N/A	0	0	3,181	9,077	13,047
<b>Total<sup>4</sup></b>	<b>165,184,246</b>	<b>156,662,940</b>	<b>155,064,797</b>	<b>154,302</b>	<b>556,356</b>	<b>4,373,439</b>	<b>122,159</b>	<b>3,871,406</b>	<b>1,041,787</b>

<sup>1</sup> In Delaware, the percentage of CO<sub>2</sub> allowances allocated to auction shall increase by 8 percent per year from 2009-2014, such that 100 percent of CO<sub>2</sub> allowances shall be auctioned in 2014.

<sup>2</sup> For Maryland, the Set-Aside Allowances Retired column also includes CO<sub>2</sub> allowances that will be retired in accordance with deadlines in Maryland regulations.

<sup>3</sup> For New York, the Set-Aside Allowances Retired column also includes New York's Behind-the-Meter Adjustment for 2013.

<sup>4</sup> In addition to the figures above, in 2010, New Jersey sold 879,132 allowance allocation year 2013 CO<sub>2</sub> allowances. There are no other New Jersey allowance allocation year 2013 CO<sub>2</sub> allowances in circulation.

<sup>5</sup> States do not intend to re-offer CO<sub>2</sub> allowances in the Offered but Unsold at Auction column. New Hampshire may convert some of these CO<sub>2</sub> allowances to Cost Containment Reserve allowances.

<sup>6</sup> States do not intend to distribute or offer for sale CO<sub>2</sub> allowances in the Remaining Set-Aside Allowances column.

#### Legend Key

**CO<sub>2</sub> Allowance Budget:** Total number of CO<sub>2</sub> allowances issued by each participating state for 2013 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**Offered at Auction:** Total number of CO<sub>2</sub> allowances offered at auction (includes Sold at Auction, Offered but Unsold at Auction, and Unsold Allowances Retired).

**Sold at Auction:** Total number of CO<sub>2</sub> allowances sold at auction.

**Sold at Fixed Price:** Total number of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Unsold Allowances Retired:** Total number of CO<sub>2</sub> allowances that were offered at an auction but were not sold and have been retired.

**Offered but Unsold at Auction:** Total number of CO<sub>2</sub> allowances that were offered at an auction but were not sold.

**Transferred from State Set-Aside Accounts:** Total number of CO<sub>2</sub> allowances that have been distributed directly from state accounts.

**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts.

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Connecticut	10,695,036	97.70%	96.73%	0.00%	0.97%	0.98%	0.00%	1.32%	0.00%
Delaware <sup>1</sup>	7,559,787	77.30%	76.47%	N/A	0.00%	6.72%	0.00%	15.97%	0.83%
Maine	5,948,902	85.21%	84.30%	N/A	0.91%	12.79%	2.00%	0.00%	0.00%
Maryland <sup>2</sup>	37,503,983	98.62%	97.75%	0.41%	0.86%	0.00%	0.00%	0.97%	0.00%
Massachusetts	26,660,204	100.00%	98.92%	N/A	0.00%	0.00%	0.00%	0.00%	1.08%
New Hampshire	8,620,460	82.59%	81.73%	N/A	0.87%	17.40%	0.00%	0.01%	0.00%
New York <sup>3</sup>	64,310,805	94.37%	93.36%	N/A	0.00%	2.33%	0.00%	3.30%	1.01%
Rhode Island <sup>6</sup>	2,659,239	99.00%	97.94%	N/A	0.00%	0.00%	0.00%	1.00%	1.06%
Vermont	1,225,830	99.00%	97.94%	N/A	0.00%	0.00%	0.26%	0.74%	1.06%
<b>Total<sup>4</sup></b>	<b>165,184,246</b>	<b>94.84%</b>	<b>93.87%</b>	<b>0.09%</b>	<b>0.34%</b>	<b>2.65%</b>	<b>0.07%</b>	<b>2.34%</b>	<b>0.63%</b>

<sup>1</sup> In Delaware, the percentage of CO<sub>2</sub> allowances allocated to auction shall increase by 8 percent per year from 2009-2014, such that 100 percent of CO<sub>2</sub> allowances shall be auctioned in 2014.

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### Legend Key

**CO<sub>2</sub> Allowance Budget:** Total number of CO<sub>2</sub> allowances issued by each participating state for 2013 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**Offered at Auction:** Portion of CO<sub>2</sub> Allowance Budget offered at auction (includes Sold at Auction, Offered but Unsold at Auction, and Unsold Allowances Retired).

**Sold at Auction:** Portion of CO<sub>2</sub> Allowance Budget sold at auction.

**Sold at Fixed Price:** Portion of CO<sub>2</sub> Allowance Budget sold directly to regulated sources at a fixed price.

**Unsold Allowances Retired:** Portion of CO<sub>2</sub> Allowance Budget that was offered at an auction but was not sold and has been retired.

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**Transferred from State Set-Aside Accounts:** Portion of CO<sub>2</sub> Allowance Budget that has been distributed directly from state accounts.

**Remaining Set-Aside Allowances:** Portion of CO<sub>2</sub> Allowance Budget delegated as state set-aside allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Portion of CO<sub>2</sub> Allowance Budget that has been retired from state set-aside accounts.