

The Regional Greenhouse Gas Initiative

an initiative of the New England and Mid-Atlantic States of the U.S.

2014 CO₂ Allowance Distribution

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

2014 CO ₂ Allowance Distribution By State										
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ²	Sold at Auction ¹	Sold Cost Containment Reserve (CCR) Allowances ⁴	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Sold Allowances Retired ⁵
Connecticut	5,891,895	531,969	5,359,926	5,258,765	323,731	0	20,762	0	80,399	239
Delaware	4,064,687	375,603	3,689,084	3,689,084	228,829	N/A	N/A	N/A	N/A	0
Maine	3,277,250	295,567	2,981,683	2,306,139	180,069	N/A	576,934	98,610	0	0
Maryland ³	20,360,944	1,863,361	18,497,583	15,451,885	1,135,217	3,045,698	0	0	0	0
Massachusetts	14,487,106	1,324,595	13,162,511	13,140,417	806,984	N/A	0	0	22,094	0
New Hampshire	4,749,011	428,302	4,320,709	2,820,709	260,935	N/A	1,500,000	0	0	0
New York	35,228,822	3,195,240	32,033,582	29,833,582	1,946,639	N/A	1,500,000	0	700,000	0
Rhode Island	2,284,975	132,122	2,152,853	2,152,229	80,491	N/A	0	0	624	0
Vermont	655,310	60,905	594,405	588,461	37,105	N/A	0	5,944	0	0
Total¹	91,000,000	8,207,664	82,792,336	75,241,271	5,000,000	3,045,698	3,597,696	104,554	803,117	239

¹ In 2011, the nine states of Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New York, Rhode Island and Vermont sold 2,807,952 allowance allocation year 2014 CO₂ allowances. Also in 2011, in addition to the figures above, New Jersey sold 279,758 allowance allocation year 2014 CO₂ allowances. There are no other New Jersey allowance allocation year 2014 CO₂ allowances in circulation. 17,980,017 allowance allocation year 2014 CO₂ allowances were sold in Auction 23, and 18,062,384 allowance allocation year 2014 CO₂ allowances were sold in Auction 24, 17,998,687 allowance allocation year 2014 CO₂ allowances were sold in Auction 25, and 18,198,685 allowance allocation year 2014 CO₂ allowances were sold in Auction 26.

² On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design/overview/cap>

⁴ A total of 5 million 2014 Cost Containment Reserve (CCR) allowances were distributed in Auction 23. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

⁵ The 239 CO₂ allowances in the Sold Allowances Retired column are CT-issued CO₂ allowances. These CO₂ allowances have not been excluded in the "Sold At Auction" total in the above.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances issued by each participating state for 2014 as specified by each participating state's CO₂ Budget Trading Program.

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2014 CO₂ allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2014.

Sold at Auction: Portion of CO₂ Allowance Adjusted Budget sold at auction to date, not including CCR allowances.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold Allowances Retired: Total number of CO₂ allowances that were offered and sold at an auction and have been retired.

Transferred from State Set-Aside Accounts: Total number of CO₂ Allowance Adjusted Budget that has been distributed directly from state accounts to date.

Remaining Set-Aside Allowances: Total number of CO₂ Allowance Adjusted Budget delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ Allowance Adjusted Budget that has been retired from state set-aside accounts to date.

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Connecticut	5,891,895	531,969	5,359,926	98.11%	323,731	0.00%	0.39%	0.00%	1.50%	0.00%
Delaware	4,064,687	375,603	3,689,084	100.00%	228,829	N/A	N/A	N/A	N/A	0.00%
Maine	3,277,250	295,567	2,981,683	77.34%	180,069	N/A	19.35%	3.31%	0.00%	0.00%
Maryland ³	20,360,944	1,863,361	18,497,583	83.53%	1,135,217	16.47%	0.00%	0.00%	0.00%	0.00%
Massachusetts	14,487,106	1,324,595	13,162,511	99.83%	806,984	N/A	0.00%	0.00%	0.17%	0.00%
New Hampshire	4,749,011	428,302	4,320,709	65.28%	260,935	N/A	34.72%	0.00%	0.00%	0.00%
New York	35,228,822	3,195,240	32,033,582	93.13%	1,946,639	N/A	4.68%	0.00%	2.19%	0.00%
Rhode Island	2,284,975	132,122	2,152,853	99.97%	80,491	N/A	0.00%	0.00%	0.03%	0.00%
Vermont	655,310	60,905	594,405	99.00%	37,105	N/A	0.00%	1.00%	0.00%	0.00%
Total¹	91,000,000	8,207,664	82,792,336	90.88%	5,000,000	3.68%	4.35%	0.13%	0.97%	0.00%

¹ In 2011, the nine states of Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New York, Rhode Island and Vermont sold 2,807,952 allowance allocation year 2014 CO₂ allowances. Also in 2011, in addition to the figures above, New Jersey sold 279,758 allowance allocation year 2014 CO₂ allowances. There are no other New Jersey allowance allocation year 2014 CO₂ allowances in circulation. 17,980,017 allowance allocation year 2014 CO₂ allowances were sold in Auction 23, and 18,062,384 allowance allocation year 2014 CO₂ allowances were sold in Auction 24, 17,998,687 allowance allocation year 2014 CO₂ allowances were sold in Auction 25, and 18,198,685 allowance allocation year 2014 CO₂ allowances were sold in Auction 26.

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³ For Maryland, the Transferred from State Set-Aside Accounts column includes CO₂ allowances sold directly to regulated sources at a fixed price to date.

⁴ A total of 5 million 2014 Cost Containment Reserve (CCR) allowances were distributed in Auction 23. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

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CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2014.

Sold at Auction: Portion of CO₂ Allowance Adjusted Budget sold at auction to date, not including CCR allowances.

Sold at Fixed Price: Portion of CO₂ allowances sold directly to regulated sources at a fixed price.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Portion of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Portion of CO₂ Allowance Adjusted Budget that has been distributed directly from state accounts to date.

Remaining Set-Aside Allowances: Portion of CO₂ Allowance Adjusted Budget delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Portion of CO₂ Allowance Adjusted Budget that has been retired from state set-aside accounts to date.