

The Regional Greenhouse Gas Initiative

an initiative of the New England and Mid-Atlantic States of the U.S.

Distribution of 2015 CO₂ Allowances

Date: 3/23/2020

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2015 CO ₂ Allowances By State											
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances ³	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Sold Allowances Retired ⁴
Connecticut	5,744,598	531,969	886,894	4,325,735	4,257,295	647,461	N/A	3,554	0	64,886	297
Delaware	3,963,069	375,603	626,202	2,961,264	2,961,264	457,658	N/A	N/A	N/A	N/A	0
Maine	3,195,319	295,567	492,767	2,406,985	2,167,652	360,137	N/A	239,333	0	0	0
Maryland	19,851,920	1,863,361	3,106,578	14,881,981	12,211,544	2,270,433	1,600,000	1,070,437	0	0	0
Massachusetts	14,124,929	1,324,595	2,208,353	10,591,981	10,566,767	1,613,968	N/A	0	0	25,214	0
New Hampshire	4,630,286	428,302	714,061	3,487,923	3,487,923	521,869	N/A	0	0	0	0
New York	34,348,101	3,195,240	5,327,076	25,825,785	23,625,785	3,893,277	N/A	1,500,000	36,035	663,965	0
Rhode Island	2,227,851	132,122	220,273	1,875,456	1,875,456	160,987	N/A	0	0	0	0
Vermont	638,927	60,905	101,540	476,482	471,717	74,210	N/A	0	4,765	0	0
Total	88,725,000	8,207,664	13,683,744	66,833,592	61,625,403	10,000,000	1,600,000	2,813,324	40,800	754,065	297

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ A total of 10 million 2015 Cost Containment Reserve (CCR) allowances were distributed in Auction 29. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

⁴ For Connecticut, the 297 CO₂ allowances in the Sold Allowances Retired column were New York-issued CO₂ allowances.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances issued by each participating state for 2015 as specified by each participating state's CO₂ Budget Trading Program.

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2015 CO₂ allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2015 CO₂ allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO₂ allowances that are in addition to the total quantity of 2012 and 2013 CO₂ emissions, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2015.

Sold at Auction: Total number of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts to date.

Sold Allowances Retired: Total number of CO₂ allowances that were offered and sold at an auction and have been retired.

The Regional Greenhouse Gas Initiative

an initiative of the New England and Mid-Atlantic States of the U.S.

Distribution of 2015 CO₂ Allowances

Date: 3/23/2020

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2015 CO ₂ Allowances By State											
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances ³	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Sold Allowances Retired ⁴
Connecticut	5,744,598	531,969	886,894	4,325,735	98.42%	647,461	N/A	0.08%	0.00%	1.50%	0.01%
Delaware	3,963,069	375,603	626,202	2,961,264	100.00%	457,658	N/A	N/A	N/A	N/A	0.00%
Maine	3,195,319	295,567	492,767	2,406,985	90.06%	360,137	N/A	9.94%	0.00%	0.00%	0.00%
Maryland	19,851,920	1,863,361	3,106,578	14,881,981	82.06%	2,270,433	10.75%	7.19%	0.00%	0.00%	0.00%
Massachusetts	14,124,929	1,324,595	2,208,353	10,591,981	99.76%	1,613,968	N/A	0.00%	0.00%	0.24%	0.00%
New Hampshire	4,630,286	428,302	714,061	3,487,923	100.00%	521,869	N/A	0.00%	0.00%	0.00%	0.00%
New York	34,348,101	3,195,240	5,327,076	25,825,785	91.48%	3,893,277	N/A	5.81%	0.14%	2.57%	0.00%
Rhode Island	2,227,851	132,122	220,273	1,875,456	100.00%	160,987	N/A	0.00%	0.00%	0.00%	0.00%
Vermont	638,927	60,905	101,540	476,482	99.00%	74,210	N/A	0.00%	1.00%	0.00%	0.00%
Total	88,725,000	8,207,664	13,683,744	66,833,592	92.21%	10,000,000	2.39%	4.21%	0.06%	1.13%	0.00%

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ A total of 10 million 2015 Cost Containment Reserve (CCR) allowances were distributed in Auction 29. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

⁴ For Connecticut, the 297 CO₂ allowances in the Sold Allowances Retired column were New York-issued CO₂ allowances.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances issued by each participating state for 2015 as specified by each participating state's CO₂ Budget Trading Program.

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2015 CO₂ allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2015 CO₂ allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO₂ allowances that are in addition to the total quantity of 2012 and 2013 CO₂ emissions, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2015.

Sold at Auction: Portion of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Portion number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Portion of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Portion of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Portion of CO₂ allowances that have been retired from state set-aside accounts to date.

Sold Allowances Retired: Portion of CO₂ allowances that were offered and sold at an auction and have been retired.