

# The Regional Greenhouse Gas Initiative

## an initiative of the New England and Mid-Atlantic States of the U.S.

### Distribution of 2015 CO<sub>2</sub> Allowances

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2015 CO <sub>2</sub> Allowances By State											
State	CO <sub>2</sub> Allowance Base Budget	First Control Period Interim Adjustment <sup>1</sup>	Second Control Period Interim Adjustment <sup>2</sup>	CO <sub>2</sub> Allowance Adjusted Budget <sup>1,2</sup>	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances <sup>3</sup>	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Sold Allowances Retired <sup>4</sup>
Connecticut	5,744,598	531,969	886,894	4,325,735	4,257,295	647,461	N/A	3,554	0	64,886	297
Delaware	3,963,069	375,603	626,202	2,961,264	2,961,264	457,658	N/A	N/A	N/A	N/A	0
Maine	3,195,319	295,567	492,767	2,406,985	2,167,652	360,137	N/A	239,333	0	0	0
Maryland	19,851,920	1,863,361	3,106,578	14,881,981	12,211,544	2,270,433	1,600,000	1,070,437	0	0	0
Massachusetts	14,124,929	1,324,595	2,208,353	10,591,981	10,566,767	1,613,968	N/A	0	0	25,214	0
New Hampshire	4,630,286	428,302	714,061	3,487,923	3,487,923	521,869	N/A	0	0	0	0
New York	34,348,101	3,195,240	5,327,076	25,825,785	23,625,785	3,893,277	N/A	1,500,000	84,688	615,312	0
Rhode Island	2,227,851	132,122	220,273	1,875,456	1,875,456	160,987	N/A	0	0	0	0
Vermont	638,927	60,905	101,540	476,482	471,717	74,210	N/A	0	4,765	0	0
<b>Total</b>	<b>88,725,000</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>66,833,592</b>	<b>61,625,403</b>	<b>10,000,000</b>	<b>1,600,000</b>	<b>2,813,324</b>	<b>89,453</b>	<b>705,412</b>	<b>297</b>

<sup>1</sup> On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

<sup>2</sup> On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

<sup>3</sup> A total of 10 million 2015 Cost Containment Reserve (CCR) allowances were distributed in Auction 29. More information available at [http://www.rggi.org/market/co2\\_auctions/results](http://www.rggi.org/market/co2_auctions/results) and at <http://www.rggi.org/design>

<sup>4</sup> For Connecticut, the 297 CO<sub>2</sub> allowances in the Sold Allowances Retired column were New York-issued CO<sub>2</sub> allowances.

### Legend Key

**CO<sub>2</sub> Allowance Base Budget:** Total number of CO<sub>2</sub> allowances issued by each participating state for 2015 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**First Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2015 CO<sub>2</sub> allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

**Second Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2015 CO<sub>2</sub> allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO<sub>2</sub> allowances that are in addition to the total quantity of 2012 and 2013 CO<sub>2</sub> emissions, divided over the 2015-2020 period.

**CO<sub>2</sub> Allowance Adjusted Budget:** Total number of CO<sub>2</sub> allowances allocated by each participating state for 2015.

**Sold at Auction:** Total number of CO<sub>2</sub> allowances sold at auction to date, not including CCR allowances.

**Sold Cost Containment Reserve (CCR) Allowances:** Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

**Sold at Fixed Price:** Total number of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Transferred from State Set-Aside Accounts:** Total number of CO<sub>2</sub> allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see:

[http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States\\_Set-Aside\\_Accounts.pdf](http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf).

**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts to date.

**Sold Allowances Retired:** Total number of CO<sub>2</sub> allowances that were offered and sold at an auction and have been retired.

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Connecticut	5,744,598	531,969	886,894	4,325,735	98.42%	647,461	N/A	0.08%	0.00%	1.50%	0.01%
Delaware	3,963,069	375,603	626,202	2,961,264	100.00%	457,658	N/A	N/A	N/A	N/A	0.00%
Maine	3,195,319	295,567	492,767	2,406,985	90.06%	360,137	N/A	9.94%	0.00%	0.00%	0.00%
Maryland	19,851,920	1,863,361	3,106,578	14,881,981	82.06%	2,270,433	10.75%	7.19%	0.00%	0.00%	0.00%
Massachusetts	14,124,929	1,324,595	2,208,353	10,591,981	99.76%	1,613,968	N/A	0.00%	0.00%	0.24%	0.00%
New Hampshire	4,630,286	428,302	714,061	3,487,923	100.00%	521,869	N/A	0.00%	0.00%	0.00%	0.00%
New York	34,348,101	3,195,240	5,327,076	25,825,785	91.48%	3,893,277	N/A	5.81%	0.33%	2.38%	0.00%
Rhode Island	2,227,851	132,122	220,273	1,875,456	100.00%	160,987	N/A	0.00%	0.00%	0.00%	0.00%
Vermont	638,927	60,905	101,540	476,482	99.00%	74,210	N/A	0.00%	1.00%	0.00%	0.00%
<b>Total</b>	<b>88,725,000</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>66,833,592</b>	<b>92.21%</b>	<b>10,000,000</b>	<b>2.39%</b>	<b>4.21%</b>	<b>0.13%</b>	<b>1.06%</b>	<b>0.00%</b>

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