

# The Regional Greenhouse Gas Initiative

## an initiative of the New England and Mid-Atlantic States of the U.S.

### Distribution of 2016 CO<sub>2</sub> Allowances

Date: 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2016 CO <sub>2</sub> Allowances By State										
State	CO <sub>2</sub> Allowance Base Budget	First Control Period Interim Adjustment <sup>1</sup>	Second Control Period Interim Adjustment <sup>2</sup>	CO <sub>2</sub> Allowance Adjusted Budget <sup>1,2</sup>	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired
Connecticut	5,600,983	531,969	886,894	4,182,120	4,112,565	0	N/A	6,823	0	62,732
Delaware	3,863,993	375,603	626,202	2,862,188	2,862,188	0	N/A	N/A	N/A	N/A
Maine	3,115,436	295,567	492,767	2,327,102	2,228,155	0	N/A	98,947	0	0
Maryland	19,355,622	1,863,361	3,106,578	14,385,683	12,015,778	0	1,600,000	498,965	270,940	0
Massachusetts	13,771,805	1,324,595	2,208,353	10,238,857	10,218,385	0	N/A	0	0	20,472
New Hampshire	4,514,529	428,302	714,061	3,372,166	3,371,502	0	N/A	0	0	664
New York	33,489,399	3,195,240	5,327,076	24,967,083	22,767,083	0	N/A	1,500,000	0	700,000
Rhode Island	2,172,154	132,122	220,273	1,819,759	1,819,338	0	N/A	0	0	421
Vermont	622,954	60,905	101,540	460,509	455,904	0	N/A	0	4,605	0
<b>Total</b>	<b>86,506,875</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>64,615,467</b>	<b>59,850,898</b>	<b>0</b>	<b>1,600,000</b>	<b>2,104,735</b>	<b>275,545</b>	<b>784,289</b>

<sup>1</sup> On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

<sup>2</sup> On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

#### Legend Key

**CO<sub>2</sub> Allowance Base Budget:** Total number of CO<sub>2</sub> allowances issued by each participating state for 2016 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**First Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2016 CO<sub>2</sub> allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

**Second Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2016 CO<sub>2</sub> allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO<sub>2</sub> allowances that are in addition to the total quantity of 2012 and 2013 CO<sub>2</sub> emissions, divided over the 2015-2020 period.

**CO<sub>2</sub> Allowance Adjusted Budget:** Total number of CO<sub>2</sub> allowances allocated by each participating state for 2016.

**Sold at Auction:** Total number of CO<sub>2</sub> allowances sold at auction to date, not including CCR allowances.

**Sold Cost Containment Reserve (CCR) Allowances:** Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

**Sold at Fixed Price:** Total number of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Transferred from State Set-Aside Accounts:** Total number of CO<sub>2</sub> allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: [http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States Set-Aside Accounts.pdf](http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States%20Set-Aside%20Accounts.pdf).

**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts to date.

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Distribution of 2016 CO2 Allowances By State										
State	CO <sub>2</sub> Allowance Base Budget	First Control Period Interim Adjustment <sup>1</sup>	Second Control Period Interim Adjustment <sup>2</sup>	CO <sub>2</sub> Allowance Adjusted Budget <sup>1,2</sup>	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired
Connecticut	5,600,983	531,969	886,894	4,182,120	98.34%	0	N/A	0.16%	0.00%	1.50%
Delaware	3,863,993	375,603	626,202	2,862,188	100.00%	0	N/A	N/A	N/A	N/A
Maine	3,115,436	295,567	492,767	2,327,102	95.75%	0	N/A	0.00%	0.00%	0.00%
Maryland	19,355,622	1,863,361	3,106,578	14,385,683	83.53%	0	11.12%	0.00%	1.88%	0.00%
Massachusetts	13,771,805	1,324,595	2,208,353	10,238,857	99.80%	0	N/A	0.00%	0.00%	0.20%
New Hampshire	4,514,529	428,302	714,061	3,372,166	99.98%	0	N/A	0.00%	0.00%	0.02%
New York	33,489,399	3,195,240	5,327,076	24,967,083	91.19%	0	N/A	6.01%	0.00%	2.80%
Rhode Island	2,172,154	132,122	220,273	1,819,759	99.98%	0	N/A	0.00%	0.00%	0.02%
Vermont	622,954	60,905	101,540	460,509	99.00%	0	N/A	0.00%	1.00%	0.00%
<b>Total</b>	<b>86,506,875</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>64,615,467</b>	<b>92.63%</b>	<b>0</b>	<b>2.48%</b>	<b>3.26%</b>	<b>0.43%</b>	<b>1.21%</b>

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**Second Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2016 CO<sub>2</sub> allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO<sub>2</sub> allowances that are in addition to the total quantity of 2012 and 2013 CO<sub>2</sub> emissions, divided over the 2015-2020 period.

**CO<sub>2</sub> Allowance Adjusted Budget:** Total number of CO<sub>2</sub> allowances allocated by each participating state for 2016.

**Sold at Auction:** Portion of CO<sub>2</sub> allowances sold at auction to date, not including CCR allowances.

**Sold Cost Containment Reserve (CCR) Allowances:** Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

**Sold at Fixed Price:** Portion of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Transferred from State Set-Aside Accounts:** Portion of CO<sub>2</sub> allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: [http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States\\_Set-Aside\\_Accounts.pdf](http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf)

**Remaining Set-Aside Allowances:** Portion of CO<sub>2</sub> allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Portion of CO<sub>2</sub> allowances that have been retired from state set-aside accounts to date.