### Distribution of 2017 CO2 Allowances

**Date:** 6/18/2019

Values in this spreadsheet are current as of the last date of update listed above.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Connecticut</td>
<td>5,460,958</td>
<td>531,969</td>
<td>886,894</td>
<td>4,042,095</td>
<td>3,933,639</td>
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<td>375,603</td>
<td>626,202</td>
<td>2,858,274</td>
<td>2,858,274</td>
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<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Maine</td>
<td>1,037,550</td>
<td>295,567</td>
<td>492,767</td>
<td>2,249,216</td>
<td>2,148,719</td>
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<td>N/A</td>
<td>100,388</td>
<td>109</td>
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</tr>
<tr>
<td>Maryland</td>
<td>19,149,790</td>
<td>1,863,361</td>
<td>3,106,578</td>
<td>14,179,851</td>
<td>11,893,886</td>
<td>0</td>
<td>1,600,000</td>
<td>0</td>
<td>685,965</td>
<td>0</td>
<td>16,003</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>13,612,882</td>
<td>1,324,593</td>
<td>2,109,353</td>
<td>10,079,934</td>
<td>10,062,297</td>
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<td>17,637</td>
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<td>714,061</td>
<td>3,259,302</td>
<td>3,259,175</td>
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<td>1,292</td>
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<tr>
<td>New York</td>
<td>32,837,536</td>
<td>3,195,240</td>
<td>5,327,076</td>
<td>24,315,220</td>
<td>22,115,220</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>1,500,000</td>
<td>700,000</td>
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</tr>
<tr>
<td>Rhode Island</td>
<td>1,357,826</td>
<td>132,122</td>
<td>220,273</td>
<td>1,005,075</td>
<td>1,005,075</td>
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<td>N/A</td>
<td>N/A</td>
<td>0</td>
<td>356</td>
<td>0</td>
</tr>
<tr>
<td>Vermont</td>
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<td>60,905</td>
<td>101,540</td>
<td>463,472</td>
<td>458,837</td>
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<td>N/A</td>
<td>N/A</td>
<td>0</td>
<td>4,635</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>84,344,203</td>
<td>8,207,664</td>
<td>13,683,744</td>
<td>62,452,795</td>
<td>57,735,120</td>
<td>0</td>
<td>1,600,000</td>
<td>1,648,213</td>
<td>690,709</td>
<td>778,753</td>
<td>16,003</td>
</tr>
</tbody>
</table>

1 On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at [http://www.rggi.org/design](http://www.rggi.org/design).

2 On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at [http://www.rggi.org/design](http://www.rggi.org/design).

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**Legend Key**

- **CO2 Allowance Base Budget:** Total number of CO2 allowances issued by each participating state for 2017 as specified by each participating state's CO2 Budget Trading Program.
- **First Control Period Interim Adjustment:** Total number of CO2 allowances deducted from the 2017 CO2 allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.
- **Second Control Period Interim Adjustment:** Total number of CO2 allowances deducted from the 2017 CO2 allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO2 allowances that are in addition to the total quantity of 2012 and 2013 CO2 emissions, divided over the 2015-2020 period.
- **CO2 Allowance Adjusted Budget:** Total number of CO2 allowances allocated by each participating state for 2017.
- **Sold at Auction:** Total number of CO2 allowances sold at auction to date, not including CCR allowances.
- **Sold Cost Containment Reserve (CCR) Allowances:** Total number of CCR allowances sold at auction in which the CCR Trigger Price was reached to date.
- **Sold at Fixed Price:** Total number of CO2 allowances sold directly to regulated sources at a fixed price.
- **Transferred from State Set-Aside Accounts:** Total number of CO2 allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: [http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf](http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf).
- **Remaining Set-Aside Allowances:** Total number of CO2 allowances that have been retired from state set-aside accounts to date.
- **Set-Aside Allowances Retired:** Total number of CO2 allowances that have been retired from state set-aside accounts to date.
- **Awarded CO2 Offset Allowances:** Total number of CO2 allowances that have been awarded to offset projects to date.
### Distribution of 2017 CO₂ Allowances

**State CO₂ Allowance Base Budget**: Total number of CO₂ allowances issued by each participating state for 2017 as specified by each participating state’s CO₂ Budget Trading Program.

**First Control Period Interim Adjustment**: Total number of CO₂ allowances deducted from the 2017 CO₂ allowance base budget equivalent to the private bank of first control period allowances (allocation year 2009, 2010, & 2011), divided over the 2014-2020 period.

**Second Control Period Interim Adjustment**: Total number of CO₂ allowances deducted from the 2017 CO₂ allowance base budget equivalent to the private bank of 2012 and 2013 allocation year CO₂ allowances that are in addition to the total quantity of 2012 and 2013 CO₂ emissions, divided over the 2015-2020 period.

**CO₂ Allowance Adjusted Budget**: Total number of CO₂ allowances allocated by each participating state for 2017.

**Sold at Auction**: Portion of CO₂ allowances sold at auction to date, not including CCR allowances.

**Sold Cost Containment Reserve (CCR) Allowances**: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

**Sold at Fixed Price**: Portion of CO₂ allowances sold directly to regulated sources at a fixed price.

**Transferred from State Set-Aside Accounts**: Portion of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: http://www.rggi.org/docs/CO2AuctionsTrackingOffsets/Allocation/States_Set-Aside_Accounts.pdf.

**Remaining Set-Aside Allowances**: Portion of CO₂ allowances that have been retired from state set-aside accounts to date.

**Set-Aside Allowances Retired**: Total number of CO₂ allowances that have been awarded to offset projects to date.

**Awarded CO₂ Offset Allowances**: Total number of CO₂ allowances that have been awarded to offset projects to date.

### Distribution of 2017 CO₂ Allowances By State

<table>
<thead>
<tr>
<th>State</th>
<th>CO₂ Allowance Base Budget</th>
<th>First Control Period Interim Adjustment</th>
<th>Second Control Period Interim Adjustment</th>
<th>CO₂ Allowance Adjusted Budget</th>
<th>Sold at Auction</th>
<th>Sold Cost Containment Reserve (CCR) Allowances</th>
<th>Sold at Fixed Price</th>
<th>Transferred from State Set-Aside Accounts</th>
<th>Remaining Set-Aside Allowances</th>
<th>Set-Aside Allowances Retired</th>
<th>Awarded CO₂ Offset Allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>Connecticut</td>
<td>5,460,958</td>
<td>531,969</td>
<td>4,042,095</td>
<td>97.32%</td>
<td>0</td>
<td>N/A</td>
<td>1.18%</td>
<td>0</td>
<td>0.00%</td>
<td>1.50%</td>
<td>0</td>
</tr>
<tr>
<td>Delaware</td>
<td>3,860,079</td>
<td>375,603</td>
<td>2,858,274</td>
<td>100.00%</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>0</td>
</tr>
<tr>
<td>Maine</td>
<td>3,037,550</td>
<td>295,567</td>
<td>2,249,216</td>
<td>95.53%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>TBD</td>
<td>0.00%</td>
<td>0.04%</td>
<td>0</td>
</tr>
<tr>
<td>Maryland</td>
<td>19,149,790</td>
<td>1,863,361</td>
<td>14,179,851</td>
<td>83.88%</td>
<td>0</td>
<td>11.28%</td>
<td>0.00%</td>
<td>TBD</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>13,612,882</td>
<td>1,324,595</td>
<td>10,079,934</td>
<td>99.83%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>New Hampshire</td>
<td>4,401,665</td>
<td>428,302</td>
<td>3,259,302</td>
<td>99.96%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>New York</td>
<td>32,837,536</td>
<td>3,195,240</td>
<td>24,315,220</td>
<td>99.96%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>Rhode Island</td>
<td>1,357,826</td>
<td>132,122</td>
<td>1,005,431</td>
<td>99.96%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>Vermont</td>
<td>625,917</td>
<td>60,905</td>
<td>463,471</td>
<td>99.96%</td>
<td>0</td>
<td>N/A</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>84,344,203</td>
<td>8,207,664</td>
<td>62,452,795</td>
<td>92.45%</td>
<td>0</td>
<td>2.56%</td>
<td>2.64%</td>
<td>1.11%</td>
<td>1.25%</td>
<td>16,003</td>
<td>0</td>
</tr>
</tbody>
</table>


2 On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at http://www.rggi.org/design.