

# Regional Greenhouse Gas Initiative

An Initiative of the Northeast and Mid-Atlantic States of the U.S.

## Distribution of 2018 CO<sub>2</sub> Allowances

Date: 9/17/2018

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2018 CO <sub>2</sub> Allowances By State										
State	CO <sub>2</sub> Allowance Base Budget	First Control Period Interim Adjustment <sup>1</sup>	Second Control Period Interim Adjustment <sup>2</sup>	CO <sub>2</sub> Allowance Adjusted Budget <sup>1,2</sup>	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired
Connecticut	5,324,434	531,969	886,894	3,905,571	2,849,206	0	N/A	6,742	58,584	58,584
Delaware	3,763,577	375,603	626,202	2,761,772	2,071,329	0	N/A	N/A	N/A	N/A
Maine	2,961,611	295,567	492,767	2,173,277	1,571,109	0	N/A	0	78,465	0
Maryland	18,671,045	1,863,361	3,106,578	13,701,106	7,692,406	0	0	0	3,541,474	0
Massachusetts	13,272,560	1,324,595	2,208,353	9,739,612	7,282,296	0	N/A	0	0	29,883
New Hampshire	4,291,624	428,302	714,061	3,149,261	2,297,571	0	N/A	0	85,773	59
New York	32,016,597	3,195,240	5,327,076	23,494,281	15,970,711	0	N/A	1,500,000	700,000	0
Rhode Island	1,323,881	132,122	220,273	971,486	718,686	0	N/A	0	13,239	0
Vermont	610,269	60,905	101,540	447,824	332,510	0	N/A	0	4,478	0
<b>Total</b>	<b>82,235,598</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>60,344,190</b>	<b>40,785,824</b>	<b>0</b>	<b>0</b>	<b>1,506,742</b>	<b>4,482,013</b>	<b>88,526</b>

<sup>1</sup> On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

<sup>2</sup> On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

### Legend Key

**CO<sub>2</sub> Allowance Base Budget:** Total number of CO<sub>2</sub> allowances for 2018 as specified by each participating state's CO<sub>2</sub> Budget Trading Program.

**First Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2018 CO<sub>2</sub> allowance base budget equivalent to the private bank of 2009, 2010, & 2011 allocation year CO<sub>2</sub> allowances, divided over the 2014-2020 period.

**Second Control Period Interim Adjustment:** Total number of CO<sub>2</sub> allowances deducted from the 2018 CO<sub>2</sub> allowance base budget equivalent to the private bank of 2012 & 2013 allocation year CO<sub>2</sub> allowances, divided over the 2015-2020 period.

**CO<sub>2</sub> Allowance Adjusted Budget:** Total number of CO<sub>2</sub> allowances allocated by each participating state for 2018.

**Sold at Auction:** Total number of CO<sub>2</sub> allowances sold at auction to date, not including CCR allowances.

**Sold Cost Containment Reserve (CCR) Allowances:** Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

**Sold at Fixed Price:** Total number of CO<sub>2</sub> allowances sold directly to regulated sources at a fixed price.

**Transferred from State Set-Aside Accounts:** Total number of CO<sub>2</sub> allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: [https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States\\_Set-Aside\\_Accounts.pdf](https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf)

**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts to date.

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Connecticut	5,324,434	531,969	886,894	3,905,571	72.95%	0	N/A	0.17%	1.50%	1.50%
Delaware	3,763,577	375,603	626,202	2,761,772	75.00%	0	N/A	N/A	N/A	N/A
Maine	2,961,611	295,567	492,767	2,173,277	72.29%	0	N/A	0.00%	3.61%	0.00%
Maryland	18,671,045	1,863,361	3,106,578	13,701,106	56.14%	0	0.00%	0.00%	25.85%	0.00%
Massachusetts	13,272,560	1,324,595	2,208,353	9,739,612	74.77%	0	N/A	0.00%	0.00%	0.31%
New Hampshire	4,291,624	428,302	714,061	3,149,261	72.96%	0	N/A	0.00%	2.72%	0.00%
New York	32,016,597	3,195,240	5,327,076	23,494,281	67.98%	0	N/A	6.38%	2.98%	0.00%
Rhode Island	1,323,881	132,122	220,273	971,486	73.98%	0	N/A	0.00%	1.36%	0.00%
Vermont	610,269	60,905	101,540	447,824	74.25%	0	N/A	0.00%	1.00%	0.00%
<b>Total</b>	<b>82,235,598</b>	<b>8,207,664</b>	<b>13,683,744</b>	<b>60,344,190</b>	<b>67.59%</b>	<b>0</b>	<b>0.00%</b>	<b>2.50%</b>	<b>7.43%</b>	<b>0.15%</b>

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**Remaining Set-Aside Allowances:** Total number of CO<sub>2</sub> allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

**Set-Aside Allowances Retired:** Total number of CO<sub>2</sub> allowances that have been retired from state set-aside accounts to date.