

Regional Greenhouse Gas Initiative

An Initiative of the Northeast and Mid-Atlantic States of the U.S.

Distribution of 2019 CO₂ Allowances

Date: 12/27/2018

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2019 CO ₂ Allowances By State										
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired
Connecticut	5,191,324	531,969	886,894	3,772,461	0	0	N/A	0	169,761	0
Delaware	3,613,361	375,603	626,202	2,611,556	0	0	N/A	N/A	0	N/A
Maine	2,887,571	295,567	492,767	2,099,237	0	0	N/A	0	186,985	0
Maryland	17,931,922	1,863,361	3,106,578	12,961,983	0	0	0	0	3,411,932	0
Massachusetts	12,756,508	1,324,595	2,208,353	9,223,560	0	0	N/A	0	0	683,985
New Hampshire	4,184,333	428,302	714,061	3,041,970	0	0	N/A	0	83,687	0
New York	31,216,182	3,195,240	5,327,076	22,693,866	0	0	N/A	0	2,200,000	0
Rhode Island	2,005,354	132,122	220,272	1,652,960	0	0	N/A	0	20,054	0
Vermont	577,390	60,905	101,540	414,945	0	0	N/A	0	4,149	0
Total³	80,179,708	8,207,664	13,683,743	58,288,301	0	0	0	0	6,076,568	683,985

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ The New York State Department of Environmental Conservation (DEC) intends to propose revisions to its 6 NYCRR Part 242, CO₂ Budget Trading Program (Part 242) regulation in 2019. As part of such proposal, DEC intends to include a proposed set-aside or other regulatory mechanism to account for 184,237 CO₂ allowances. Before any finalization, this and other proposed revisions to Part 242 will be subject to public notice and an opportunity for comment, as well as other procedures required under the State Administrative Procedure Act.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances for 2019 as specified by each participating state's CO₂ Budget Trading Program.

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2019 CO₂ allowance base budget equivalent to the private bank of 2009, 2010, & 2011 allocation year CO₂ allowances, divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2019 CO₂ allowance base budget equivalent to the private bank of 2012 & 2013 allocation year CO₂ allowances, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2019.

Sold at Auction: Total number of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts to date.

Regional Greenhouse Gas Initiative

An Initiative of the Northeast and Mid-Atlantic States of the U.S.

Distribution of 2019 CO₂ Allowances

Date: 12/27/2018

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of 2019 CO ₂ Allowances By State										
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired
Connecticut	5,191,324	531,969	886,894	3,772,461	0.00%	0	N/A	0.00%	4.50%	0.00%
Delaware	3,613,361	375,603	626,202	2,611,556	0.00%	0	N/A	N/A	N/A	N/A
Maine	2,887,571	295,567	492,767	2,099,237	0.00%	0	N/A	0.00%	8.91%	0.00%
Maryland	17,931,922	1,863,361	3,106,578	12,961,983	0.00%	0	0.00%	0.00%	26.32%	0.00%
Massachusetts	12,756,508	1,324,595	2,208,353	9,223,560	0.00%	0	N/A	0.00%	0.00%	7.42%
New Hampshire	4,184,333	428,302	714,061	3,041,970	0.00%	0	N/A	0.00%	2.75%	0.00%
New York	31,216,182	3,195,240	5,327,076	22,693,866	0.00%	0	N/A	0.00%	9.69%	0.00%
Rhode Island	2,005,354	132,122	220,272	1,652,960	0.00%	0	N/A	0.00%	1.21%	0.00%
Vermont	577,390	60,905	101,540	414,945	0.00%	0	N/A	0.00%	1.00%	0.00%
Total³	80,179,708	8,207,664	13,683,743	58,288,301	0.00%	0	0.00%	0.00%	10.43%	1.17%

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ The New York State Department of Environmental Conservation (DEC) intends to propose revisions to its 6 NYCRR Part 242, CO₂ Budget Trading Program (Part 242) regulation in 2019. As part of such proposal, DEC intends to include a proposed set-aside or other regulatory mechanism to account for 184,237 CO₂ allowances. Before any finalization, this and other proposed revisions to Part 242 will be subject to public notice and an opportunity for comment, as well as other procedures required under the State Administrative Procedure Act.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances for 2019 as specified by each participating state's CO₂ Budget Trading Program.

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2019 CO₂ allowance base budget equivalent to the private bank of 2009, 2010, & 2011 allocation year CO₂ allowances, divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the 2019 CO₂ allowance base budget equivalent to the private bank of 2012 & 2013 allocation year CO₂ allowances, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for 2019.

Sold at Auction: Total number of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts to date.