

## 1.1 Auction Schedule

Table 1 presents the current schedule for all events associated with Auction 41 (“Auction Schedule”). Please note that times and dates in the schedule are subject to change. Any change to the Auction Schedule will be reflected on the Auction Website and emailed to the Authorized Auction Representative(s).

| Event  | Date                                 | Time                            |
|--|--------------------------------------|---------------------------------|
| Release auction documents <ul style="list-style-type: none"> <li>• <i>Auction Notice</i></li> <li>• <i>Qualification Application</i></li> <li>• <i>Intent to Bid</i></li> </ul>  | Tuesday, July 10, 2018               | No later than 10:00 AM ET       |
| Open online question window  | Tuesday, July 10, 2018               | 10:00 AM ET                     |
| Hold webinar   | Wednesday, July 11, 2018             | 2:00 to 3:00 PM ET              |
| Close online question window   | Friday, July 13, 2018                | 5:00 PM ET                      |
| Update FAQs, as needed   | Wednesday, July 18, 2018             | No later than 12:00 PM ET       |
| <b>Auction documents due<sup>1</sup></b> <ul style="list-style-type: none"> <li>• <b><i>Qualification Application</i></b></li> <li>• <b><i>Intent to Bid</i></b></li> </ul>  | <b>Wednesday, July 25, 2018</b>      | <b>No later than 5:00 PM ET</b> |
| Auction Manager sends remediation notification and instructions to each Applicant <ul style="list-style-type: none"> <li>• <i>Qualification Application</i></li> <li>• <i>Intent to Bid</i></li> </ul>                     | Wednesday, August 01, 2018           | No later than 3:00 PM ET        |
| <b>Remediated auction documents due, where applicable</b>  | Wednesday, August 15, 2018           | <b>No later than 5:00 PM ET</b> |
| Auction Manager sends qualification status to each Applicant   | Tuesday, August 21, 2018             | No later than 5:00 PM ET        |
| Auction Manager distributes usernames & passwords  | Tuesday, August 21, 2018             | No later than 5:00 PM ET        |
| Hold Auction Platform training sessions  | Monday, August 27, 2018              | 1:00 to 4:00 PM ET              |
| Hold Auction Platform training sessions  | Tuesday, August 28, 2018             | 1:00 to 4:00 PM ET              |
| <b>Financial security due</b>  | <b>Wednesday, August 29, 2018</b>    | <b>No later than 5:00 PM ET</b> |
| Auction Manager sends notification of participation status   | Friday, August 31, 2018              | No later than 5:00 PM ET        |
| <b>Hold Auction 41</b>   | <b>Wednesday, September 05, 2018</b> | <b>9:00 AM to 12:00 PM ET</b>   |
| Auction 41 final clearing price posted at <a href="http://www.rggi.org">http://www.rggi.org</a> . Immediately following posting of final clearing price, bidders can log on to Auction Platform to view Auction 41 awards. | Friday, September 07, 2018           | 10:00 AM ET                     |
| Optional cash settlement due   | Wednesday, September 12, 2018        | No later than 5:00 PM ET        |
| Unused cash financial security returned  | Wednesday, September 19, 2018        | No later than 5:00 PM ET        |
| Allowance transfer and confirmation  | Thursday, September 20, 2018         | No later than 5:00 PM ET        |

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<sup>1</sup> All due dates specified in the *Auction Notice* refer to the date and time on which the item(s) must be received by the Auction Manager.