



Regional Greenhouse Gas Initiative

Preparing for CO₂ Budget Source Fourth Control Period Compliance

September 29, 2020

Webinar Outline

- Introduction
- Key Terms
- 4 Stages of RGGI Compliance
- Compliance Process Checklist: 8 Steps
- Compliance in RGGI COATS: Demonstration
- Question & Answer
- Additional Resources & Contacts

Introduction

What is RGGI CO₂ Budget Source Compliance?

- Under each Regional Greenhouse Gas Initiative (RGGI) participating state's CO₂ Budget Trading Program, regulated power plants (**CO₂ budget sources**) are required to provide one CO₂ allowance for each ton of CO₂ emitted during the preceding three-year control period.
- A **CO₂ allowance** represents a limited authorization to emit one short ton of CO₂, as issued by a RGGI state.
- Each **RGGI control period** is three years in length. The fourth control period began on January 1, 2018 and extends through December 31, 2020.
 - Starting in 2015, each CO₂ budget source must hold allowances equal to 50 percent of its emissions during each Interim Control Period (the first two calendar years of each three-year control period).
 - Each CO₂ budget source must hold allowances equal to 100 percent of its emissions for the three-year control period at the end of the control period.

Introduction

What is RGGI CO₂ Budget Source Compliance?

- The **RGGI CO₂ Allowance Tracking System (COATS)** is where compliance transactions occur and is used by each RGGI state to determine compliance with each state's CO₂ Budget Trading Program.
- For a list of **current CO₂ budget sources**, please see the Sources Report on the COATS home page: rggi-coats.org.

Key Terms

- **CO₂ Budget Source:** A fossil fuel-fired power plant 25 MW or greater in size regulated under RGGI.
- **CO₂ Compliance Obligation:** Total tons of CO₂ emitted during the fourth control period by a CO₂ budget source, less any eligible emissions deductions (as determined by each state).
- **Allowance Transfer Deadline:** Midnight of March 1 occurring after the end of the control period or, if that March 1 is not a business day, midnight of the first business day thereafter.
- **Draft True-Up:** The process in COATS which compares the CO₂ Compliance Obligation to the sum of any CO₂ allowances already surrendered and those remaining in the compliance account. (Draft true-up must be run by 11:59 PM ET on March 1, 2021.)

***Note:** Terms may differ between this presentation, COATS, and state CO₂ Budget Trading Programs. If you have any questions on terminology, please contact your state (contact information can be found at the end of this presentation).*

Key Terms

- **Automatic Deduction of CO₂ Allowances:** The process by which CO₂ allowances (the amount as determined by the relevant state) held in a CO₂ budget source's compliance account are transferred for compliance to the relevant state's surrender account in COATS upon final compliance true-up. Final compliance true-up will occur on April 2, 2021.
- **Surrendering of CO₂ Allowances:** The optional process by which a CO₂ budget source may identify specific CO₂ allowances to be used for compliance by transferring them to the relevant state's surrender account in COATS by using the Compliance Deduction Transaction in COATS (available from February 1, 2021 through March 1, 2021).

Key Terms

- **Authorized Account Representative (AAR):** For a compliance account (i.e., CO₂ budget source and each CO₂ budget unit at the source), the person who is authorized by the owners and operators of the source and all CO₂ budget units at the source to represent and legally bind each owner and operator in matters pertaining to the CO₂ Budget Trading Program; or, for a general account, the natural person who is authorized to transfer CO₂ allowances held in the general account.
- **Alternate Authorized Account Representative (AAAR):** A person who may act on behalf of the AAR.
- **Electronic Submission Agent (Agent):** A person designated by an AAR or AAAR to act in COATS on their behalf.

4 Stages of RGGI Compliance

Stage 1: CO₂ Emissions Reporting (*CO₂ Budget Sources*)

Stage 2: Compliance Activities in COATS: (*CO₂ Budget Sources*)

- Provide CO₂ allowances for compliance
- Run draft true-up
- Certify compliance

Stage 3: Compliance Evaluation (*RGGI States*)

Stage 4: Public Reporting (*Public*)

4 Stages of RGGI Compliance

Stage 1: CO₂ Emissions Reporting

- **Reporting to the US EPA**

- CO₂ budget sources are required to report **quarterly CO₂ emissions data** to RGGI states through the US Environmental Protection Agency's (US EPA) Clean Air Markets Division (CAMD) Business System in accordance with state CO₂ Budget Trading Program regulations and US EPA regulations at 40 CFR Part 75.
 - Updates made in the CAMD Business System flow to COATS periodically.
- January 30, 2021: Deadline to submit CO₂ emissions data to the US EPA for Q4-2020, the final quarter of the fourth control period.
- For more information on the US EPA's emissions reporting requirements, see: <https://www.epa.gov/airmarkets>.

4 Stages of RGGI Compliance

Stage 2: Compliance Activities in COATS

a) Provide CO₂ allowances for compliance

- CO₂ allowances must be provided for compliance by the allowance transfer deadline (11:59 PM ET on March 1, 2021).
- It is recommended that you obtain all CO₂ allowances necessary for compliance well before the allowance transfer deadline.
- Market participants can acquire CO₂ allowances in two ways:
 - 1) **CO₂ Allowance Auctions:** Auction 50, to be held on December 2, 2020 is the last quarterly CO₂ Allowance Auction to purchase CO₂ allowances before the end of the fourth control period.
 - For more information on RGGI CO₂ allowance auctions, see: <https://www.rggi.org/auctions/auction-materials>.
 - 2) **Secondary Markets:** CO₂ allowances for can also be acquired through the secondary market.

4 Stages of RGGI Compliance

Stage 2: Compliance Activities in COATS

a) Provide CO₂ allowances for compliance

- CO₂ budget sources must transfer and hold sufficient CO₂ allowances in their compliance account (not including any CO₂ allowances already surrendered) to meet their CO₂ compliance obligation in COATS by 11:59 PM ET on March 1, 2021.
- A CO₂ budget source may also optionally identify specific CO₂ allowances to be used for compliance (or “surrender” CO₂ allowances) by using the Compliance Deduction Transaction in COATS between February 1, 2021 and March 1, 2021.

4 Stages of RGGI Compliance

Stage 2: Compliance Activities in COATS

b) Run draft true-up

- CO₂ budget sources must run draft true-up in COATS by 11:59 PM ET on March 1, 2021.

c) Certify compliance

- CO₂ budget sources are also required to certify compliance between February 1, 2021 and March 1, 2021 according to each state's CO₂ Budget Trading Program (e.g., electronic vs. paper submission).

Additional information on how to run draft true-up and certify compliance in COATS will be discussed further on in this presentation.

4 Stages of RGGI Compliance

Stage 3: Compliance Evaluation

- **State Compliance Evaluation Period (March 2, 2021 – April 1, 2021):** RGGI states will evaluate each CO₂ budget source by reviewing the Compliance Certification Report and comparing the number of CO₂ allowances provided for compliance (**including any CO₂ allowances surrendered and held in compliance accounts**) to the CO₂ compliance obligation.
- Final compliance true-up will occur on the first business day following April 1, 2021, which is April 2, 2021. Between March 2, 2021 and final compliance true-up:
 - **CO₂ allowances of an allocation year from the fourth control period or prior will be frozen in COATS compliance accounts.** You will not be able to transfer or receive allocation year 2009-2020 CO₂ allowances in your compliance account.
 - **CO₂ Allowances in COATS general accounts will NOT be frozen.** To receive or transfer allocation year 2009-2020 CO₂ allowances during this time, you are strongly encouraged to make sure you have access to a general account. For more information on how to open a general account, please see the [COATS User's Guide](#).

4 Stages of RGGI Compliance

Stage 3: Compliance Evaluation

- Final compliance true-up will occur on the first business day following April 1, 2021, which is April 2, 2021.
Following final compliance true-up on April 2, 2021:
 - CO₂ allowances will be **automatically deducted** from compliance accounts for compliance in accordance with the rules specified in each state's CO₂ Budget Trading Program;
 - State-derived evaluations of compliance will be released publicly in the **Compliance Summary Report**; and
 - **Allocation year 2009-2020 CO₂ allowances in compliance accounts will be unfrozen** in COATS, unless there is any shortfall of allowances to meet the CO₂ compliance obligation. If there is a shortfall of CO₂ allowances after compliance deductions are complete, the CO₂ budget source may not be able to transfer any CO₂ allowances out of their compliance account until excess emissions are resolved.

4 Stages of RGGI Compliance

Stage 4: Public Reporting

- **There will be two public compliance data reports:**
 - **Source-Submitted Compliance Report (March 2, 2021)**
 - This report will be publicly available in COATS and will show data submitted by CO₂ budget sources.
 - This report will not include any state-derived evaluations of compliance.
 - A static form of this report will be archived on April 2, 2021 and remain available.
 - **Compliance Summary Report (April 2, 2021):**
 - This report will replace the Source-Submitted Compliance Report in COATS.
 - This report will include state-derived compliance evaluations of compliance.

To view public reports in COATS: Go to rggi-coats.org and click on the desired report category in the "Public Reports" section. No login is required.

Compliance Timeline & Milestones

December 31, 2020

End of the fourth control period

March 1, 2021 11:59 PM ET

Allowance Transfer Deadline: Provide CO₂ allowances for compliance
Compliance Certification Deadline: Certify compliance

Last auction to acquire CO₂ allowances for compliance

Submit Q4-2020 CO₂ emissions data to U.S. EPA CAMD

Provide CO₂ allowances & certify compliance

State Compliance Evaluation

- Compliance accounts frozen for allocation year 2009-2020 allowances
- Compliance data submitted by sources report available

States Compliance Evaluation complete

December 2, 2020

• **Auction 50:** Last auction to acquire CO₂ allowances in the fourth control period

January 30, 2021

• Q4-2020 CO₂ emissions data reporting deadline

April 2, 2021

- CO₂ Allowances are deducted for compliance (not including previously surrendered allowances)
 - State Compliance Evaluations determined
 - Compliance accounts unfrozen
 - Compliance Summary Report available

Compliance Process Checklist: 8 Steps

- Step 1:** Confirm access to the US EPA's CAMD Business System.
- Step 2:** Confirm user registration in COATS.
- Step 3:** *(Recommended)* Have a general account open in COATS.
- Step 4:** Acquire sufficient CO₂ allowances to meet CO₂ compliance obligation.
- Step 5:** Submit CO₂ emissions data to the US EPA by January 30, 2021.
- Step 6:** Provide sufficient CO₂ allowances in COATS compliance account by the allowance transfer deadline (March 1, 2021).
- Step 7:** Run draft true-up in COATS by March 1, 2021.
- Step 8:** Certify compliance by the Compliance Certification Deadline (paper submission received by 5:00 PM ET and electronic submission by 11:59 PM ET on March 1, 2021).

Compliance Process Checklist: 8 Steps

❑ Step 1: Confirm access to the US EPA's CAMD Business System

- Facility information including AARs, AAARs, owners, and operators is stored and maintained in the CAMD system, and reflected in COATS.
- Please ensure you have an AAR and AAAR (if applicable) with access to the CAMD system, and that all information about your facility is up-to-date. Updates made in the CAMD system will flow through to COATS periodically.
 - Note: If you need to change your AAR or make changes that cannot be made in the CAMD system, this process will take time and may require the submission of paper documents. **Please plan accordingly.**
 - See <https://camd.epa.gov/CBS/login/auth> for more information about the CAMD Business System.

Compliance Process Checklist: 8 Steps

❑ Step 2: Confirm user registration in COATS

- COATS stores and maintains RGGI accounts and CO₂ allowances, and is where compliance transactions and evaluation are made.
- Please ensure that your facility's AAR, AAAR (if applicable), and any Agents (if applicable) for COATS are current and have access to COATS.
 - Agents and general accounts are maintained in COATS.
 - Users cannot make changes to compliance account information in COATS; any changes need to be made in the CAMD system.
 - If you cannot make changes to your CAMD system account information (e.g., the existing AAR has left the company), and/or need to create a new user in COATS, this process will take time and may require the submission of paper documents. **Please plan accordingly.**
 - See the COATS [User's Guide](#) for more information about COATS.

Compliance Process Checklist: 8 Steps

- ❑ **Step 3: (Recommended) Have a general account open in COATS**
 - From March 2, 2021 through final compliance true-up on April 2, 2021, CO₂ budget sources will not be able to transfer or receive allocation year 2009-2020 CO₂ allowances in their compliance account.
 - General accounts will be able to transfer and receive CO₂ allowances regardless of allocation year.
 - If you anticipate receiving or transferring allocation year 2009-2020 CO₂ allowances in COATS during this time, you are strongly encouraged to open and maintain a general account.
 - For more information on how to open a general account, please see the COATS [User's Guide](#).

Compliance Process Checklist: 8 Steps

- ❑ **Step 4: Acquire sufficient CO₂ allowances to meet CO₂ compliance obligation**
- CO₂ allowances can be obtained in two ways:
 - CO₂ Allowance Auctions
 - Auction 50, to be held on December 2, 2020 is the final RGGI auction to purchase CO₂ allowances before the end of the fourth control period.
 - The Auction 50 Notice will be released by October 6, 2020.
 - For more information on CO₂ Allowance Auctions, please see: <https://www.rggi.org/auctions/auction-materials>.
 - Secondary Markets
 - CO₂ allowances can also be obtained through the secondary market.
- All CO₂ allowances must be provided for compliance by 11:59 PM ET on March 1, 2021.
 - It is strongly recommended that you obtain all CO₂ allowances necessary for compliance well before the allowance transfer deadline.

Compliance Process Checklist: 8 Steps

- ❑ **Step 5: Submit CO₂ emissions data to the US EPA by January 30, 2021**
 - Emissions for the final quarter of the fourth control period (Q4-2020) must be submitted to US EPA's CAMD Business System by January 30, 2021.
 - CO₂ emissions data updates for the fourth control period will continue to flow to COATS from the CAMD system through final compliance true-up.
 - If there are any changes to CO₂ emissions data, the relevant state, AAR, and any AAAR and/or Agent(s) associated with the compliance account will be notified via COATS.

Compliance Process Checklist: 8 Steps

- ❑ **Step 6: Provide sufficient CO₂ allowances in COATS compliance account by the allowance transfer deadline (March 1, 2021)**
 - All CO₂ budget sources must hold sufficient CO₂ allowances in their compliance account (not including any CO₂ allowances previously surrendered) to meet their CO₂ compliance obligation in COATS by 11:59 PM ET on March 1, 2021.
 - Please note the **CO₂ compliance obligation will reflect any emissions data updates that may occur after March 1, 2021**. Therefore, you should consider the potential for such updates when **determining how many CO₂ allowances** to hold in your compliance account for compliance.
 - Deduction of CO₂ Allowances: Following final compliance true-up April 2, 2021, CO₂ allowances will be deducted from each CO₂ budget source's compliance account.

Compliance Process Checklist: 8 Steps

- ❑ **Step 6: Provide sufficient CO₂ allowances in COATS compliance account by the allowance transfer deadline (March 1, 2021)**
- Surrendering of CO₂ Allowances (optional): If you wish to identify specific CO₂ allowances to use for compliance, you may do so by using the Compliance Deduction Transaction in COATS between February 1, 2021 and March 1, 2021.
 - Any identified CO₂ allowances will be transferred from your compliance account to your relevant state's surrender account for compliance at the point of the transaction.
 - Any remaining balance of CO₂ allowances to meet your CO₂ compliance obligation will be automatically deducted pursuant to the regulations of the state in which the CO₂ budget source resides.
- For additional information on COATS transactions, see the COATS [User's Guide](#).

Compliance Process Checklist: 8 Steps

Step 7: Run draft true-up in COATS by March 1, 2021

- CO₂ budget sources must run draft true-up in COATS by 11:59 PM ET on March 1, 2021, which will compare their CO₂ compliance obligation to the sum of CO₂ allowances surrendered and/or held in their compliance account.
- CO₂ budget sources may run draft true-up in COATS as many times as needed.
- Final compliance evaluations by states will be released on April 2, 2021 in the Compliance Summary Report in COATS.

Compliance Process Checklist: 8 Steps

☐ Step 8: Certify compliance by the Compliance Certification Deadline (between February 1 – March 1, 2021)

- CO₂ budget sources are required to submit a Compliance Certification Report certifying that they are in compliance according to their state's CO₂ Budget Trading Program.
- This certification may require a paper and/or electronic submission.
- Paper certification must be submitted by the AAR or AAAR by 5:00 PM ET and electronic certification must be submitted by the AAR or AAAR by 11:59 PM ET on March 1, 2021.
 - **For CO₂ budget sources located in Connecticut or Maryland:** Paper certification required. Electronic certification may also be submitted but is not required.
 - **For CO₂ budget sources located in New Jersey and Vermont:** Electronic and paper certification required.
 - **For CO₂ budget sources located in Delaware, Maine, Massachusetts, New Hampshire, or New York:** Electronic certification required.
 - **For CO₂ budget sources located in Rhode Island:** Electronic or paper certification required.

Compliance Process Checklist: 8 Steps

State	Electronic Certification Required?	Paper Certification Required?	State Mailing Address:
CT	No	Yes	Supervisor, Compliance Analysis and Coordination Group Engineering and Enforcement Division Bureau of Air Management Department of Energy & Environmental Protection 79 Elm Street, 5 th Floor Hartford, CT 06106
DE	Yes	No	N/A
ME	Yes	No	N/A
MD	No	Yes	Maryland Department of the Environment Attn: Steve Lang Air and Radiation Administration Air Quality Compliance Program 1800 Washington Boulevard Baltimore, MD 21230
MA	Yes	No	N/A

Compliance Process Checklist: 8 Steps

State	Electronic Certification Required?	Paper Certification Required?	State Mailing Address:
NH	Yes	No	N/A
NJ	Yes	Yes	New Jersey Department of Environmental Protection Bureau of Climate Change and Clean Energy Attn: NJ RGGI Program, 401 E. State Street, 2nd Floor, Mail code 401-02H P.O. Box 420 Trenton, NJ 08625-0420
NY	Yes	No	N/A
RI	Accepted	Accepted	RI Department of Environmental Management Office of Air Resources Attn: Dena Gonsalves 235 Promenade Street Providence, RI 02908-5767
VT	Yes	Yes	Vermont Department of Environmental Conservation Air Quality and Climate Division Attn: Brian Woods One National Life Drive – Davis 2 Montpelier, VT 05620-3802



Compliance in RGGI COATS: A How-To Guide

Compliance in RGGI COATS: A How-To Guide

Step 1: How to determine your total CO₂ compliance obligation

Step 2: How to provide CO₂ allowances for compliance

Step 3: How to conduct draft true-up

Step 4: How to certify compliance

Step 5: How to view compliance reports

Note: Screenshots serve as examples only and do not represent any real data or accounts. Screenshots may not represent exact screens in COATS. Please see the COATS [User's Guide](#) for additional information.

Compliance in RGGI COATS: A How-To Guide

Step 1: How to determine your total CO₂ compliance obligation

1. In COATS (rggi-coats.org), click on the Emissions link in the menu navigation bar. This will bring you to the Emissions page, which displays all sources (units) with which you have been associated as an AAR, AAAR, or Electronic Submission Agent (Agent).

The screenshot shows the RGGI CO₂ Allowance Tracking System website. The header includes "RGGI CO₂ ALLOWANCE TRACKING SYSTEM" and "RGGI CO₂ BUDGET TRADING PROGRAMS". A navigation bar contains the following items: Accounts, Sources, Special Approvals, Offset Projects, Emissions, Compliance, Allowance Transactions, Public Reports, and Reference. The "Emissions" item is highlighted with a red box and a red arrow pointing to it. A red text box next to the arrow says "Click on the Emissions main menu to navigate to the Emissions home page." Below the navigation bar, there are three main content areas: a blue box on the left, a central box with the text "The Regional Greenhouse Gas Initiative (RGGI) is a cooperative effort by participating states to reduce emissions", and a blue box on the right labeled "Announcements".

Compliance in RGGI COATS: A How-To Guide

Step 1: How to determine your total CO₂ compliance obligation

- You can choose to view your emissions Quarterly, Annually, or for the Control Period (Note: Only the Control Period view is shown below).

Emissions - Control Period View

Use this page and the views below the grid to verify CO₂ emissions data for the affected RGGI sources and units for which you serve as an AAR, AAAR or agent. Clicking on the hyperlinked quarter in the Quarter column (see: Quarterly view) navigates one to the Emissions Record Detail page, which displays the data associated with the unit for the selected quarter, as well as any Quarterly Emissions Record documents related to Eligible Biomass and Eligible CHP Thermal Output. The columns Eligible Biomass (Tons) (State Value) and Eligible CHP Thermal Output (Tons) (State Value) contain the deductions to be applied, if any.

Use the filter criteria to find a specific source or unit.

Help

Filter Criteria ▾

Control Period

State

Source Name

ORIS Code

State Identifier

Unit

State	ORIS Code	Source Name	State Identifier	Unit ID	Control Period	Op Hours	Heat Input (mmBtu)	CO ₂ Mass (Tons)	Eligible Biomass (Tons) (EPA Value)	Eligible Biomass (Tons) (State Value)	Eligible CHP Thermal Output (Tons) (State Value)
VT	3734	DEMO 1	2630100084	CTG7A	01/01/2018 - 12/31/2020	3,046.75	4,174,052	256,853			
VT	3734	DEMO 1	2630100084	CTG7B	01/01/2018 - 12/31/2020	2,674.74	3,666,507	225,680			
VT	3754	DEMO 2	1472200926	001	01/01/2018 - 12/31/2020	2,294.96	2,245,619	138,645			
VT	3754	DEMO 2	2630401377	VB01	01/01/2018 -	116.59	44,404	2,639			

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Show Control Period Data

Control Period page view

Show Annual Data

Show Quarterly Data

Click the Export Data button to download the grid as a .csv file to your local drive.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

- The **Allowance Transfer Transaction** in COATS:
 - Allows you to transfer CO₂ allowances to your compliance account.
 - Allows you to select CO₂ allowances to transfer either automatically or manually by CO₂ allowance serial block.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

- To transfer CO₂ allowances to your compliance account:
 1. In COATS, click on the **Transfer Allowances** sub-menu under the **Allowance Transactions** menu.

The screenshot displays the RGGI CO₂ Allowance Tracking System interface. At the top, the title "RGGI CO₂ ALLOWANCE TRACKING SYSTEM" is visible. Below it, a navigation bar contains several menu items: "Accounts", "Sources", "Special Approvals", "Offset Projects", "Emissions", "Compliance", "Allowance Transactions", "Public Reports", and "Reference". The "Allowance Transactions" menu is expanded, showing sub-items: "Transfer Allowances" and "Compliance Deduction". A red arrow points from the text "Click on Transfer Allowances to move CO₂ allowances between accounts (general and compliance)." to the "Transfer Allowances" sub-menu item. Below the navigation bar, there are two light blue boxes: one containing the text "The Regional Greenhouse Gas Initiative (RGGI) is a cooperative effort by participating states to reduce emissions" and another containing the text "Announcements".

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

2. Select the Transferring Account from which you will transfer CO₂ allowances.

Transfer Allowances: Step 1 - Select Transferring Account

Use filter criteria to find a specific transferring account.

Filter Criteria ▼

Representative Name

Account Number

Account Name

Click the radio button to select a transferring account and view the Allowance Holdings available in that account.

<input checked="" type="radio"/>	Account Number	Account Name	Authorized Account Representative	State	Allowances can be Transferred
<input checked="" type="radio"/>	CT10788	ABC Source Compliance Account	Reilly, Megan	CT	Yes
<input type="radio"/>	GN10787	ABC Manufacturing General Account	Reilly, Megan	All States	Yes

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Allowance Holdings

Allocation Year	Type	Originating State	Serial Range	Date Acquired	Frozen	Quantity
2008	Standard	NY	1185174 - 1185183	09/03/2008	No	10
2008	Long Term Contract	NY	1188862 - 1188864	09/03/2008	No	3

Total Allowances Available: 13

View the Allowance Holding grid for details on the allowances held in the account, including the Total Allowances Available in the lower right hand corner.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

3. Select the Acquiring Account which will receive the CO₂ allowances.

RGGI CO₂ ALLOWANCE TRACKING SYSTEM

RGGI CO₂ BUDGET TRADING PROGRAMS

Accounts Sources Special Approvals Offset Projects Allowance Transactions Reports Reference Help

Transfer Allowances: Step 2 - Select Acquiring Account

Review that the correct Transferring Account has been selected in the previous step. Click the Back button to go back to Step 1 – Select Transferring Account.

Use filter criteria to find a specific acquiring account.

Filter Criteria ▾

Representative Name

Account Number

Account Name

Filter Clear

Allowances to be Transferred From

Account Number	CT10788
Account Name	ABC Source Compliance Account
State	CT

Account Number	Account Name	Authorized Account Representative	State	Allowances can be Acquired
<input checked="" type="checkbox"/> CT10722	New CT Source Compliance Account	Allen, John	CT	Yes

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Back Next

Click the radio button to select an acquiring account.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

4a. Select Allowances to Transfer (Automatic Selection Method): By choosing “Automatic Selection”, COATS will automatically select the CO₂ allowances to be transferred based on the allocation year(s) and serial number.

Transfer Allowances: Step 3 - Select Allowances to Transfer

Allowances to be Transferred From
Account Number: CT10788
Account Name: ABC Source Compliance Account
State: CT

Allowances to be Transferred To
Account Number: CT10722
Account Name: New CT Source Compliance Account
State: CT

Choose Selection Method: **Automatic** ← Automatic Selection

Available Allowances

Allocation Year	Allowance Type	Originating State	Serial Range	Allowance(s)	Frozen	Date Acquired
2008	Standard	NY	1185174-1185183	10	No	08/28/2008
2008	Long Term Contract	NY	1188862-1188864	3	No	08/28/2008

Amount to Transfer: 4 ← Enter the quantity of allowances.

Select Allocation Year(s) *
2008
2009
2010
2011 ← Select the Allocation Year of the allowances COATS will select from the Available Allowances grid.

Deduction Method
 Begin with last acquired
 Begin with first acquired ← Choose deduction method.

Calculate ← After entering the above criteria and clicking Calculate, COATS will automatically select the allowance serial numbers to transfer.

Allowances to be Transferred

Allowance Year	Allowance Type	Serial Range	Originating State	Date Acquired	Allowance(s)
2008	Standard	1185174-1185177	NY	08/28/2008	4

Back Next

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

4b. Select Allowances to Transfer (Serial Block Selection Method): As an alternative to the automatic selection method (described in the previous slide), you may select CO₂ allowances from a specific serial block range.

Transfer Allowances: Step 3 - Select Allowances to Transfer

Help

Allowances to be Transferred From

Account Number CT10788
Account Name ABC Source Compliance Account
State CT

Allowances to be Transferred To

Account Number CT10722
Account Name New CT Source Compliance Account
State CT

Choose Selection Method: Serial Block selection

Available Allowances Use the Select All checkbox if all allowances held in the Transferring Account will be transferred. Select All

	Allocation Year	Type	Originating State	Serial Range	Frozen	Total Allowances Available	Quantity
<input checked="" type="checkbox"/>	2009	Standard	NJ	4532905 - 5667048	No	1134144	<input type="text" value="10"/>
<input type="checkbox"/>	2009	Standard	CT	157144247 - 157490790	No	346544	<input type="text" value="0"/>

Total to be Transferred: 10

Check the serial block of allowances you would like to transfer from the Transferring Account to the Acquiring Account.

Enter the Quantity of each checked serial block to be transferred.

Compliance in RGGI COATS: A How-To Guide

5. Review and approve the transaction

Transfer Allowances: Step 4 - Review and Approve Transaction

Allowances to be Transferred From

Account Number	CT10788
Account Name	ABC Source Compliance Account
State	CT
Authorized Account Representative	Reilly, Megan

Allowances to be Transferred To

Account Number	CT10722
Account Name	New CT Source Compliance Account
State	CT
Authorized Account Representative	Allen, John

Allowances to be Transferred

Allocation Year	Type	Originating State	Serial Range	Date Acquired	Quantity
2008	Standard	NY	1185174-1185177	08/28/2008	4

Total to be Transferred: 4

The CO₂ allowance transfer must include a transaction date, which is the date of the completion of the sale or purchase transaction for the CO₂ allowance(s), if any, and must include the sale or purchase price of the CO₂ allowance that is the subject of a sale or purchase transaction. The "sale or purchase transaction" is the financial transaction associated with the current allowance transfer. Note that the "sale or purchase transaction" may have occurred prior to the date that the allowance transfer is recorded in COATS, and that RGGI COATS will automatically record today's date as the transaction date if you do not report a transaction date.

If the CO₂ allowance transfer is the result of a settlement on a futures exchange, the exchange settlement box below should be checked and the sale or purchase transaction price reported to RGGI COATS should be consistent with the payment instructions to the respective buyer clearing member for the full contract value based upon the expiration day's settlement price in a means and manner prescribed by the clearing service provider.

Click the Submit button to finalize the allowance transfer.

Transaction Date

Price per allowance of associated sale or purchase transaction (\$)

Check here if there is no associated sale or purchase transaction

Check here if an exchange settlement transaction

Comment
Click the "Check here if an exchange settlement transaction" checkbox if the transaction is the result of a settlement on a futures exchange.

Enter Session PIN *

Enter your COATS Password as your electronic signature to indicate that you agree to the terms of the certification statement.

Back Submit

Click the Submit button to complete the transaction.

Use the Back button to navigate back to make a change.

If the allowance transfer is a sale or purchase transaction, then the "Price per allowance of associated sale or purchase transaction (\$)" field must be completed. If it is not, the "Check here if there is no associated sale or purchase transaction" checkbox must be clicked.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

6. **Confirmation of Allowance Transaction.** We recommend that you print the page for your records. Check your email for a system-generated confirmation of the allowance transfer.

Transfer Allowances: Confirmation of Allowance Transaction [Print](#) [Help](#)

Print this page using the Print button for your records.

Your allowance transfer has been completed. Please print this page for your records. Email confirmation regarding this transaction will be forwarded shortly.

Check your email inbox for a system-generated email confirmation of the transaction.

Recorded Date	08/22/2018
Transaction Date	08/22/2018
Transaction ID	rggi163818
Transaction Status	Complete
State	All States
Price per allowance of associated sale or purchase transaction (\$)	
Exchange Settlement Transaction	No

Allowances Transferred From		Allowances Transferred To	
Account Number	CT10788	Account Number	CT10722
Account Name	ABC Source Compliance Account	Account Name	New CT Source Compliance Account
State	CT	State	CT
Authorized Account Representative	Reilly, Megan	Authorized Account Representative	Allen, John

Allocation Year	Type	Originating State	Serial Range	Quantity
2009	Standard	NY	511152127 - 511152130	4

Total Allowances Transferred 4

Date	Transaction Status
08/22/2018	Complete

[Continue](#)

Click on the Continue button to proceed to the Allowance Transactions page.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

The **Compliance Deduction Transaction** in COATS:

- Is **optional** (you are only required to transfer sufficient CO₂ allowances to your compliance account).
- Will be available in COATS from February 1 to March 1, 2021.
- Will transfer selected CO₂ allowances for surrender from your compliance account to your state's surrender account for compliance.
- Allows you to select CO₂ allowances to surrender either automatically or manually by CO₂ allowance serial block.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

To conduct a Compliance Deduction Transaction:

1. You must already have transferred CO₂ allowances to your compliance account (as described in previous slides).
2. In COATS, click on the **Compliance Deduction** sub-menu under the **Allowance Transactions** Menu.

The screenshot displays the RGGI CO₂ Allowance Tracking System interface. At the top, the title "RGGI CO₂ ALLOWANCE TRACKING SYSTEM" is visible. Below it, a navigation bar contains the following menu items: Accounts, Sources, Special Approvals, Offset Projects, Emissions, Compliance, Allowance Transactions, Public Reports, and Reference. The "Allowance Transactions" menu is expanded, showing two sub-options: "Transfer Allowances" and "Compliance Deduction". A red arrow points from the text "Click on Compliance Deduction sub-menu to surrender allowances." to the "Compliance Deduction" sub-menu item. Below the navigation bar, there are three light blue boxes: the first is empty, the second contains the text "The Regional Greenhouse Gas Initiative (RGGI) is a cooperative effort by participating states to reduce emissions", and the third contains the text "Announcements".

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

Select the Surrendering Account from which you will transfer CO₂ allowances.

Surrender Allowances: Step 1 - Select Surrendering Account

Surrendering allowances permanently withdraws COATS allowances from compliance accounts for the purpose of compliance.

Use the radio button to select a transferring account and view the Allowance Holdings available in that account.

Use the filter criteria to find a specific transferring account.

Filter Criteria ▼

Representative Name

Account Number

Account Name

Account Number	Account Name	Authorized Account Representative	State	Allowances can be Transferred
<input checked="" type="radio"/> VT10236	DEMO 1 Compliance Account	AAR, Vermont	VT	Yes
<input type="radio"/> VT10257	DEMO 2 Compliance Account	AAR, Vermont	VT	Yes
<input type="radio"/> VT80967	October Compliance Account	AAR, Vermont	VT	Yes

1 - 3 of 3

Allowance Holdings

Allocation Year	Type	Originating State	Serial Range	Date Acquired	Frozen	Quantity
2009	Standard	New Jersey	359855107 - 359856106	09/18/2017	No	1000
2010	Standard	New Jersey	382747913 - 382747913	09/18/2017	No	1
2011	Standard	Vermont	607239225 - 607246985	04/28/2017	No	7761

Total Allowances Available: 8763

View the Allowance Holdings grid for details on the allowances held in the account, including the Total Allowances Available in the lower right hand corner.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

Select the Surrender Account which will receive the transferred CO₂ allowances (e.g. a Surrender Account for the regulating state)

[Help](#)

Surrender Allowances: Step 2 - Select Surrender Account

Filter Criteria ▼
Authorized Account Representative <input type="text"/>
Account Number <input type="text"/>
Account Name <input type="text"/>
<input type="button" value="Filter"/> <input type="button" value="Clear"/>

Allowances to be Surrendered From

Account Number	VT10236
Account Name	DEMO 1 Compliance Account
State	VT

	Account Number	Account Name	Authorized Account Representative	State	Allowances can be Acquired
<input checked="" type="radio"/>	VT80982	Control Period 4 Surrender Account		VT	Yes
<input type="radio"/>	VT10256	Control Period 2 Surrender Account		VT	No
<input type="radio"/>	VT10889	Control Period 1 Surrender Account		VT	No
<input type="radio"/>	VT10962	Control Period 3 Surrender Account		VT	No

1 - 4 of 4

Use the radio button to select the Surrender Account. Only the Surrender Accounts associated with the state in which the Compliance Account Selected in Step 1 is located will appear in the grid for selection.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

4a. Select Allowances to Surrender – Automatic Selection Method

[Help](#)

Surrender Allowances: Step 3 - Select Allowances to Surrender

Allowances to be Surrendered From

Account Number VT10236

Account Name DEMO 1 Compliance Account

State VT

Allowances to be Surrendered To

Account Number VT80982

Account Name Control Period 4 Surrender Account

State VT

Choose Selection Method: Automatic ← Automatic Selection

Available Allowances

Allocation Year	Allowance Type	Originating State	Serial Range	Allowance(s)	Frozen	Date Acquired
2009	Standard	NJ	359855107 - 359856106	1000	No	09/18/2017
2010	Standard	NJ	382747913 - 382747913	1	No	09/18/2017
2011	Standard	VT	607239225 - 607246985	7761	No	04/28/2017
2014	Standard	ME	613248376 - 613248376	1	No	09/18/2017

Amount to Surrender ← Enter the quantity of allowances.

Select Allocation Year(s) *

2009
2010
2011
2014

← Select the Allocation Year of the allowances COATS will select from the Available Allowances grid.

Deduction Method Begin with last acquired Begin with first acquired ← Choose the deduction method.

[Calculate](#)

After entering the above criteria and clicking Calculate, COATS will automatically select the allowance serial numbers to transfer.

Allowances to be Surrendered

Originating State	Allowance Year	Allowance Type	Serial Range	Date Acquired	Allowance(s)
NJ	2009	Standard	359856007 - 359856106	09/18/2017	100

[Back](#) [Next](#)

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

4b. Select Allowances to Surrender – Manual Selection Method. As an alternative to the automatic selection method (described on the previous slide), you may manually select CO₂ allowances to transfer choosing from a specific serial block range and selecting the receiving account.

Surrender Allowances: Step 3 - Select Allowances to Surrender

[Help](#)

Allowances to be Surrendered From

Account Number VT10236
Account Name DEMO 1 Compliance Account
State VT

Allowances to be Surrendered To

Account Number VT80982
Account Name Control Period 4 Surrender Account
State VT

Choose Selection Method: **Serial Block Selection**

Available Allowances **Use the Select All checkbox if all allowances held in the Transferring Account will be transferred.** Select All

	Allocation Year	Type	Originating State	Serial Range	Frozen	Total Allowances Available	Quantity
<input checked="" type="checkbox"/>	2009	Standard	NJ	359855107 - 359856106	No	1000	100
<input type="checkbox"/>	2010	Standard	NJ	382747913 - 382747913	No	1	0
<input type="checkbox"/>	2011	Standard	VT	607239225 - 607246985	No	7761	0
<input type="checkbox"/>	2014	Standard	ME	613248376 - 613248376	No	1	0

Total Allowances to be Surrendered 100

[Back](#) [Next](#)

Check the serial block of allowances you would like to transfer from the Transferring Account to the Acquiring Account.

Enter the Quantity of each checked serial block to be transferred.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

5. Review and approve the transaction.

Surrender Allowances: Step 4 - Review and Approve Transaction

Allowances to be Surrendered From

Account Number	VT10236
Account Name	DEMO 1 Compliance Account
State	VT
Authorized Account Representative	AAR, Vermont

Allowances to be Surrendered To

Account Number	VT80982
Account Name	Control Period 4 Surrender Account
State	VT

Allowances to be Surrendered

Allocation Year	Type	Originating State	Serial Range	Date Acquired	Quantity
2009	Standard	NJ	359855107 - 359855206	09/18/2017	100

Total Allowances to be Surrendered 100

Please review the transaction. Click the Submit button to finalize the surrender.

Control Period *

Comment

Enter Session PIN *

I am authorized to make this submission on behalf of the owners and operators of the CO₂ budget sources or CO₂ budget units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or

Password *

Allowances that were selected for transfer in Step 3 via the Automatic or Serial Block Method.

Select the appropriate control period for which you would like the allowance transfer to be credited.

Enter COATS password.

Compliance in RGGI COATS: A How-To Guide

Step 2: How to provide CO₂ allowances for compliance

6. **Confirmation of Allowance Transaction.** We recommend that you print the page for your records. Check your email inbox for a system-generated confirmation of the allowance transfer.

Surrender Allowances: Confirmation of Surrender Transaction [Print](#) [Help](#)

Your allowance surrender has been completed. Please print this page for your records. Email confirmation regarding this transaction will be forwarded shortly.

Date of Allowance Surrender 08/22/2018
Transaction Date 08/22/2018
Transaction ID rgg1163819
Transaction Status Complete
State VT

Check your email for a system-generated email confirmation of the transaction.

Print this page for your records by using the Print button.

Allowances to be Surrendered From

Account Number	VT10236
Account Name	DEMO 1 Compliance Account
State	VT
Authorized Account Representative	AAR, Vermont

Allowances to be Surrendered To

Account Number	VT80982
Account Name	Control Period 4 Surrender Account
State	VT

Allowances Transferred

Allowance Year	Allowance Type	Serial Range	Allowance(s)
2009	Standard	359855107 - 359855206	100

Total Allowances to be Surrendered 100

Transaction Status History

Date	Transaction Status
08/22/2018	Complete

[Continue](#) ← Click the Continue button to proceed to the Allowance Transactions page.

Compliance in RGGI COATS: A How-To Guide

Step 3: How to conduct draft true-up

1. **View the Compliance Homepage** by clicking the **Compliance** link in the menu navigation bar. This displays the state(s) (in which you have a compliance account) by control period currently available for true-up processing, as indicated by the "Open" Status in the grid.

Compliance

The control periods by state available below are those with which you have a compliance account. In order to Process True-Up (perform a draft compliance assessment), select a control period in the grid via radio button and click the Process True-Up button.

Select a Control Period for a State from the grid via radio button.

Use the filter criteria to find a specific Control Period for a State.

Filter Criteria ▼

State

Control Period

Control Period Type

	State	Control Period Type	Control Period	Status	Compliance Begin Date	Compliance End Date
<input type="radio"/>	MA	3 Year Control Period	01/01/2009 - 12/31/2011	Final	01/01/2009	12/31/2011
<input type="radio"/>	MA	3 Year Control Period	01/01/2012 - 12/31/2014	Final	01/01/2012	12/31/2014
<input type="radio"/>	MA	3 Year Control Period	01/01/2015 - 12/31/2017	Final	01/01/2015	12/31/2017
<input checked="" type="radio"/>	MA	3 Year Control Period	01/01/2018 - 12/31/2020	Open	01/01/2018	12/31/2020

1 - 4 of 4

Click the Process True-Up button once you have selected a Control Period for a State and are ready to proceed to the Select Sources for True-Up Processing page.

The Status indicates whether the Control Period for the State is available for true-up processing.

Compliance in RGGI COATS: A How-To Guide

Step 3: How to conduct draft true-up

- Select Sources for True-Up Processing.** This page displays the sources for which you are affiliated as an AAR, AAAR, or Agent and the results of the last draft (or final) true-up processing performed.

Select Sources for True-Up Processing for MA 01/01/2018 - 12/31/2020

Use the filter criteria to find a specific source.

To select the source(s) for true-up processing, click the box next to each source in the grid, or check the "Select All" box. Next, click the "Process True-Up" button, which will lead to the "Compliance Processing" page.

In order to certify compliance, the AAR or AAAR must select a single source to process draft true-up. Electronic Submission Agents may not certify compliance.

Draft true-up may be run multiple times. The Last Update column in the grid displays the date true-up was last processed for the source.

After processing draft true-up, click on the ORIS Code hyperlink to navigate to the source's Compliance Account Detail page.

The Processing Log hyperlink leads to the Compliance Log page.

[Processing Log](#)

Filter Criteria ▼

Source Name

ORIS Code

State Identifier

Authorized Account Representative

Processing Status

Certified?

Select All

Select the source for Process True-Up via the check box.

	ORIS Code	Source Name	State Identifier	Authorized Account Representative	CO ₂ Compliance Obligation	Account Holdings	Last Update	Processing Status	Certified?	Paragraph 3 Document	Paragraph (4) (ii) Document
<input type="checkbox"/>	1234	Demo 1	5678	AAR, MA							

Click the Process True-Up button once you have selected a source and are ready to proceed to the Compliance Processing page.

Compliance in RGGI COATS: A How-To Guide

Step 3: How to conduct draft true-up

- 3. Compliance Processing.** This page displays the results of the current draft true-up processing based on previously surrendered CO₂ allowances and CO₂ allowances held in the compliance account at the time of processing.

Compliance Processing

Processing Complete.

The processing box displays the unit by unit processing statuses for the source(s) selected, including any warning messages.

Demo 1 Compliance Account: Account is out of compliance. It does not have sufficient allowances for the quantity of emissions reported.
 Demo 1 Compliance Account: Warning: Emissions have not been reported for every period of the compliance period for which a unit was operational.
 Demo 1 Compliance Account: Warning: Compliance cannot be finalized for this unit until a compliance certification has been submitted.

Account Name	Account Number	ORIS Code	Unit ID(s)	Total CO ₂ Emissions	Biomass Emissions Deducted	CHP Thermal Output Emissions Deducted	CO ₂ Compliance Obligation	Previously Surrendered for CO ₂ Interim Compliance	AAR Surrendered Allowances	Default Deductions	Total Allowances Deducted	Status	Result
Demo 1 Compliance Account	MA1234	1234	4, 5, 6, 7, 81, 82, 93, 94	3,652,257	0	0	3,652,257	0	0	687,332	687,332	Complete	Source-Submitted - Emissions > Allowances

[Return to Grid](#) [Certify Compliance](#)

The Result column will display "Source-Submitted - Emissions = Allowances" if the draft true-up was successful. If not, it will display "Source-Submitted - Emissions > Allowances", meaning the obligation is greater than the allowances deducted.

Click this button to navigate to the Compliance Certification Report page.

Compliance in RGGI COATS: A How-To Guide

Step 4: How to certify compliance

- CO₂ budget sources are required to submit a Compliance Certification Report certifying that they are in compliance with their state's CO₂ Budget Trading Program between February 1, 2021 and March 1, 2021.
- This report can only be accessed by the AAR or AAAR of the CO₂ budget source in COATS.
- Compliance certification may include an electronic certification and/or paper certification process as required by each state:
 - Electronic compliance certification must be submitted through COATS by the AAR or AAAR no later than 11:59 PM ET on March 1, 2021.
 - If a paper compliance certification report is required, it must be printed by the AAR/AAAR from COATS and submitted to the relevant state contact. All paper materials must be received by the relevant state no later than 5:00 PM ET on March 1, 2021.

Compliance in RGGI COATS: A How-To Guide

Step 4: How to certify compliance

- To certify compliance (both electronic and paper), the AAR or AAAR must follow these steps:
 1. **Navigate to the Compliance Certification Report Page** by clicking on the **Certify Compliance** button after running draft true-up. Note: Agents will not be able to access this button, only AARs or AAARs.

The **Compliance Certification Report Page** is the electronic Compliance Certification Report for a source and any units at the source that are subject to a CO₂ budget emissions limitation in a control period.

Compliance in RGGI COATS: A How-To Guide

Step 4: How to certify compliance

2. **To submit electronic certification (as required by your state)** on the Compliance Certification Report Page, you may electronically upload any relevant attachments, read the Certification statement, type your COATS password and click the "**Submit**" button.
 - **For CO₂ budget sources located in CT or MD**, the Certification Report must be printed from COATS, signed, and sent with any attachments to your relevant state. The report can be printed before or after clicking "Submit".
 - **For CO₂ budget sources located in NJ and VT**, you must click "Submit" and then you will need to print, sign, and send a copy of the report with any attachments to your relevant state agency.
 - **For CO₂ budget sources located in RI**, you may click "Submit" or print, sign, and send a copy of the report with any attachments to the relevant state agency.
 - **For CO₂ budget sources located in all other states**, you must click "Submit" and certify compliance electronically.

Compliance in RGGI COATS: A How-To Guide

Step 4: How to certify compliance

- 3. Read-only Compliance Certification Report.** If electronic certification is submitted (after uploading any necessary documents, entering your password, and clicking the Submit button on the Compliance Certification screen) in COATS, you will be navigated to a read-only version of the Compliance Certification Report. A print button will be available in the top right-hand corner of the page.

Note: *It is recommended that you print a copy of the completed Compliance Certification Report for your records.*

Compliance in RGGI COATS: A How-To Guide

Step 5: How to view compliance reports

There will be 2 public compliance data reports in COATS:

- **Source-Submitted Compliance Report (March 2, 2021)**
 - This report will be publicly available in COATS and will show data submitted by CO₂ budget sources.
 - This report will not include any state-derived evaluations of compliance.
 - A static form of this report will be archived on April 2, 2021 and remain available.
- **Compliance Summary Report (April 2, 2021):**
 - This report will replace the Source-Submitted Compliance Report in COATS.
 - This report will include state-derived compliance evaluations of compliance.

Compliance in RGGI COATS: A How-To Guide

Step 5: How to view compliance reports

- Go to the COATS homepage and click on the desired report category in the "Public Reports" section of the screen. No login is required.

RGGI CO₂ ALLOWANCE TRACKING SYSTEM

RGGI CO₂ BUDGET TRADING PROGRAMS

Reference | Home

The RGGI CO₂ Allowance Tracking System (RGGI COATS) is the platform that records and tracks data for each state's CO₂ Budget Trading Program.

Public Reports

RGGI COATS enables the public to view, customize, and download reports of RGGI program data and CO₂ allowance market activity.

Access reports here:

- [Summary Level Emissions](#)
- [Quarterly Emissions](#)
- [Annual Emissions](#)
- [Control Period Emissions](#)
- [Sources](#)
- [Owner/Operator](#)
- [Accounts](#)
- [Account Representatives](#)
- [Transaction Price Report](#)
- [Special Approvals](#)
- [Offset Projects](#)
- [Compliance Summary](#)
- [2019 Interim Compliance Summary Report](#)
- [Access archived reports here.](#)

RGGI COATS Accounts

RGGI COATS enables market participants to receive and transfer CO₂ allowances, register offset projects, and submit offset project Consistency Applications and Monitoring and Verification Reports.

Registered users login below.

A login is required only for authorized account representatives (AARs), alternate account representatives (AAARs), or electronic submission agents to access compliance or general accounts.

Username *

[Register to use RGGI COATS](#)

[Forgot your RGGI COATS username?](#)

RGGI COATS is compatible with the following web browsers: Internet Explorer 11 and later, and the latest versions of Firefox, Safari, Chrome, and Opera.

Question & Answer

- **To ask a question during the webinar:** Send your question via “**Chat**” to the presenters on your webinar screen.
- **To ask a question after the webinar:** If you have additional questions following the webinar, you can email them to Anna Ngai at RGGI, Inc.: anna.ngai@rggi.org.

Additional Resources

- **State CO₂ Budget Trading Programs**
 - The compliance process for RGGI CO₂ budget sources is governed by the applicable of the state in which the facility is located. For more information, see <https://www.rggi.org/program-overview-and-design/state-regulations>.
- **RGGI Website**
 - Additional information related to compliance can be found at: <https://www.rggi.org/allowance-tracking/compliance>.
- **RGGI COATS:**
 - RGGI COATS can be accessed at: rggi-coats.org.
 - For more information, see the RGGI COATS [User's Guide](#).
- **US EPA's Emissions Reporting Requirements**
 - See the US EPA CAMD website at <https://www.epa.gov/airmarkets>.

Contacts

- For questions related to COATS, please email rggi-coats@csra.com.
- For other questions related to compliance, please contact the relevant state contact person below.

State	Contact Person	Email	Phone
CT	Mike LaFleur	Michael.LaFleur@ct.gov	(860) 424-3462
DE	Christian Wisniewski	Christian.Wisniewski@delaware.gov	(302) 324-2090
ME	Eric Kennedy	Eric.Kennedy@maine.gov	(207) 287-5412
MD	Steve Lang	Steven.Lang@maryland.gov	(410) 537-3944
MA	Bill Lamkin	William.Lamkin@state.ma.us	(978) 694-3294
NH	Joe Fontaine	Joseph.Fontaine@des.nh.gov	(603) 271-6794
NJ	Rupa Deshmukh	Rupa.Deshmukh@dep.nj.gov	(609) 633-0534
NY	Mike Sheehan	Michael.Sheehan@dec.ny.gov	(518) 402-8396
RI	Dena Gonsalves	Dena.Gonsalves@dem.ri.gov	(401) 222-2808 x77017
VT	Brian Woods	Brian.Woods@vermont.gov	(802) 272-4496



Thank You!

A recording of this presentation will be made
available on the RGGI website at:

[https://www.rggi.org/allowance-tracking/compliance.](https://www.rggi.org/allowance-tracking/compliance)